ATTACHMENT A		References to Explanatory Memorandum to the Treasury Laws				
		Amendment (Measures for Consultation) Bill 2022: ALRC Financial Services				
		Interim Report Recommendations				
		interim Report Recommendations				
Division	Item	Provision reference	Definitions/Topic	Principle		
1	3	Section 9 (definition of	ASIC	3 - all terms in s 9		
1	4	Section 9 (paragraph (c)	body corporate	3.2 - term with different meanings in		
_	·	of the definition of body	body corporate	different areas of the Act		
		corporate)		different dreas of the Act		
1	5	Section 9	doing	3 - all terms in s 9		
1	6	Section 9 (definition of	circulating security interest	3 - all terms in s 9		
		circulating security	,			
		interest)				
1	7	Section 9	disclosing entity provisions	3 - all terms in s 9		
1	7	Section 9	in default	3 - all terms in s 9		
1	7	Section 9	in this jurisdiction or elsewhere	3 - all terms in s 9		
1	8	Section 9 (note to the	PPSA security interest	3 - all terms in s 9		
		definition of lease)				
1	9	Section 9 (definition of	unlisted disclosing entity	1 - not defined if ordinary meaning		
		listed disclosing entity)		sufficient or term is redundant		
1	10	Section 9 (definition of	lodge	3 - all terms in s 9		
		lodge)				
1	11	Section 9	number of shares	3 - all terms in s 9		
1	12	Section 9	possessory security interest	3 - all terms in s 9		
1	13	Section 9 (definition of	PPSA security interest	3 - all terms in s 9		
		PPSA security interest)				
1	14	Section 9	register	3 - all terms in s 9		
1	15		Registrar	3 - all terms in s 9		
		Registrar)				
1	16	Section 9 (note to the	PPSA security interest	3 - all terms in s 9		
		definition of retention of				
		title clause)				
1	17	Section 9 (definition of	security interest	3 - all terms in s 9		
	4.5	security interest)	1 10			
1	18	Section 9 (definition of	secured creditor	3 - all terms in s 9		
	40	secured creditor)		2 - 11 - 1 - 2		
1	19	Section 9	secured party	3 - all terms in s 9		
1	19	Sections 51 to 51E	security interest	3 - all terms in s 9		
1	20	Section 9 (definition of	unlisted disclosing entity	1 - not defined if ordinary meaning		
		unlisted disclosing entity)		sufficient or term is redundant		
1	21	Section 9C	Registrar	3 - all terms in s 9		
1	22	Division 6A of Part 1.2	PPSA security interest	3 - all terms in s 9		
		(heading)	or tocounty interest			
1	23	Sections 51 to 51E	circulating security interest	3 - all terms in s 9		
1	23	Sections 51 to 51E	possessory security interest	3 - all terms in s 9		
1	23	Sections 51 to 51E	PPSA security interest	3 - all terms in s 9		
1	23	Sections 51 to 51E	secured creditor	3 - all terms in s 9		
1	23	Sections 51 to 51E	secured party	3 - all terms in s 9		

1	24	Section 53 (heading)	affairs - body corporate other	4 - consistent and intuitive headings
			than a CCIV	for definition sections
1	25	Section 53AAA (heading)	affairs - CCIV	4 - consistent and intuitive headings
				for definition sections
1	26	Section 53AA (heading)	business affairs - body	4 - consistent and intuitive headings
			corporate	for definition sections
1	27	Section 53AB (heading)	business affairs - natural	4 - consistent and intuitive headings
			person	for definition sections
1	28	Section 53AC (heading)	business affairs - partnership	4 - consistent and intuitive headings
				for definition sections
1	29	Section 53AD (heading)	business affairs—trust	4 - consistent and intuitive headings
				for definition sections
1	30	Division 2 of Part 1.2A	disclosing entity and related	4 - consistent and intuitive headings
		(heading)	terms	for definition sections
1	31	Section 111AB	disclosing entity	3 - all terms in s 9
1	31	Section 111AB	ED securities	3 - all terms in s 9
1	31	Section 111AB	ED securities of a disclosing	3 - all terms in s 9
			entity	
1	31	Section 111AB	listed disclosing entity	3 - all terms in s 9
1	31	Section 111AB	quoted ED securities	3 - all terms in s 9
1	31	Section 111AB	unlisted disclosing entity	3 - all terms in s 9
1	32	Section 111AL	unlisted disclosing entity	4 - consistent and intuitive headings
				for definition sections
1	33	Section 111AR(1)	disclosing entity provisions	3 - all terms in s 9
1	34	Subsection 442B(1) (note	PPSA security interest	3 - all terms in s 9
		1)		
1	35	Subsections 910B(1) and	control	3.2 - term with different meanings in
		(2)		different areas of the Act
2	37	Section 9	auditor's report	3 - all terms in s 9
2	37	Section 9	chief executive function	3 - all terms in s 9
2	37	Section 9	chief financial officer function	3 - all terms in s 9
2	37	Section 9	conflict of interest situation	3 - all terms in s 9
2	37	Section 9	corporate responsibility	3 - all terms in s 9
			provision	
2	37	Section 9	directors' report	3 - all terms in s 9
2	37	Section 9	equal reduction	3 - all terms in s 9
2	38	Section 9 (definition of	financial benefit	2 - no defined term if not frequently
-		financial benefit)		used
2	39	Section 9 (definition of	financial report	3 - all terms in s 9
-		financial report)		
2	40	Section 9	giving a financial benefit	3 - all terms in s 9
2	41	Section 9	independent person	3.2 - term with different meanings in
۷.		Jection 5	The period	different areas of the Act
2	42	Section 9 (subparagraph	auditor's report	3 - all terms in s 9
_	'-	(a)(ii) of the definition of		
		play a significant role)		
		Fig. a significant role,		
2	43	Section 9	replaceable rule	3 - all terms in s 9
2	43	Section 9	selective reduction	3 - all terms in s 9
2	43	Section 9	shareholder	3.2 - term with different meanings in
_	.5	300000113		different areas of the Act
			l .	amerent areas or the Act

2	43	Section 9	spill meeting	3 - all terms in s 9
2	43	Section 9	spill resolution	3 - all terms in s 9
2	45	Subsection 229(1)	giving a financial benefit	3 - all terms in s 9
2	46	Paragraph 250RA(1)(a)	auditor's report	3 - all terms in s 9
2	47	Paragraph 250RA(3)(c)	auditor's report	3 - all terms in s 9
2	48	Subparagraph	auditor's report	3 - all terms in s 9
		307B(1)(c)(i)		
2	49	Subparagraph	auditor's report	3 - all terms in s 9
		307B(3)(b)(i)	·	
2	50	Subparagraph	auditor's report	3 - all terms in s 9
		307C(5)(a)(i)	·	
2	51	Subsection 314A(1)(note)	auditor's report	3 - all terms in s 9
			·	
2	52	Subsection 324CH(1)	auditor's report	3 - all terms in s 9
		(table items 8 and 9)	·	
2	53	Subsection 332A(2)	annual transparency report	6 - bold italics only used when defining
			. , , .	,
2	54	Subsection 336(4)	auditor's report	3 - all terms in s 9
2	55	Subsection 601SCD(3)	financial benefit	3 - all terms in s 9
		(definition of financial		
		benefit)		
3	56	Section 9	486B warrant	3 - all terms in s 9
3	56	Section 9	appropriate officer	3.2 - term with different meanings in
				different areas of the Act
3	56	Section 9	directors	3.2 - term with different meanings in
				different areas of the Act
3	59	Section 9	eligible unsecured creditor	3 - all terms in s 9
3	59	Section 9	employee	3.2 - term with different meanings in
			' '	different areas of the Act
3	60	Section 9 (paragraph	property	3.2 - term with different meanings in
		(aaa) of the definition of		different areas of the Act
		property)		
3	61	Section 9	section 513CA day	3 - all terms in s 9
3	62	Section 9 (definition of	receiver	3.2 - term with different meanings in
		receiver)		different areas of the Act
3	63	Section 9	triggering event	3 - all terms in s 9
3	64	Section 416	officer	2 - no defined term if not frequently
	04	30000011 410	omeer	used
3	65	Paragraph 422(1)(aa)	officer	2 - no defined term if not frequently
		1 aragraph 422(1)(aa)	omeer	used
3	66	Paragraph 422(3)(a)	officer	2 - no defined term if not frequently
		aragraph +22(3)(a)	omeer	used
3	67	Subparagraph	officer	2 - no defined term if not frequently
	"	422(4)(b)(ia)	onicei	used
3	68	Section 429 (heading)	reporting officer	4 - consistent and intuitive headings
	00	Jection 425 (nedunig)	reporting officer	for definition sections
3	69	Paragraph (20/1)/22)	officer	
3	69	Paragraph 430(1)(aa)	onicei	2 - no defined term if not frequently
2	70	At the end of subsection	officer	used
3	70		onicei	2 - no defined term if not frequently
	74	430(1)(e)	proporty and receives	used
3	71	Section 435B	property and receiver	4 - consistent and intuitive headings
			l	for definition sections

3	72	Section 452B	property	4 - consistent and intuitive headings
				for definition sections
3	73	Section 465 (heading)	property	4 - consistent and intuitive headings for definition sections
3	74	Section 489F	triggering event	4 - consistent and intuitive headings
	'-	300000114031	lingsering event	for definition sections
3	76	Paragraph 500A(2)(a)	triggering event	3 - all terms in s 9
3	77	Section 513AA (heading)		4 - consistent and intuitive headings
3	//	Section 513AA (neading)	property	for definition sections
3	78	Section 513CA (heading)	section 513CA day	4 - consistent and intuitive headings
				for definition sections
3	79	Section 580	external administration matter	2 - no defined term if not frequently
			and prescribed country	used
3	80	Subsection 581(1)	external administration matter	2 - no defined term if not frequently
				used
3	81	Subsection 581(2)(a)(iii)	prescribed country	2 - no defined term if not frequently
				used
3	82	Section 588C (heading)	property	4 - consistent and intuitive headings
			ľ í í	for definition sections
3	83	Section 588FK	PPSA security interest	3 - all terms in s 9
3	84	Subsection 589(5)	appropriate officer	4 - consistent and intuitive headings
J		3030000011303(3)		for definition sections
3	84	Subsection 589(5)	property	4 - consistent and intuitive headings
3	04	Subsection 363(3)	property	for definition sections
3	85	Cubsortion FRO(F)	relevant day	
3	85	Subsection 589(5)	relevant day	2 - no defined term if not frequently
		(definition of relevant		lused
	0.5	day)	<u> </u>	
3	86	Subsection 590(8)	relevant day	2 - no defined term if not frequently
		2 11 221 (1 11)		used
3	87	Section 601 (heading)	property	4 - consistent and intuitive headings
				for definition sections
3	88	Section 601C (heading)	property	4 - consistent and intuitive headings
				for definition sections
4	89	Section 9	compliance committee	3 - all terms in s 9
4	89	Section 9	liquid	3 - all terms in s 9
4	90	Paragraphs 601GA(4)(b)	liquid	3 - all terms in s 9
		and (c)		
5	91	Subsection 5(1) (ASIC	traditional trustee company	3 - all terms in s 9
		Act)	services	
5	91	Subsection 5(1) (ASIC	trustee company	3 - all terms in s 9
		Act)		
5	92	Section 9	asset	3.3 - term used with same meaning
-				throughout Act
5	92	Section 9	certificate of transfer	3.1 - term given Act-wide meaning
5	92	Section 9	client, in relation to a trustee	3.1 - term given Act-wide meaning
			company	Com Swell / Co wide medining
5	92	Section 9	common fund	3.2 - term with different meanings in
J] 32	Jection 3		different areas of the Act
-	03	Castion C	compute on the refer	
5	92	Section 9	compulsory transfer	3.1 - term given Act-wide meaning
	22	Continue C	determination	24 1
5	92	Section 9	estate assets and liabilities	3.1 - term given Act-wide meaning

5	92	Section 9	estate management functions	3.1 - term given Act-wide meaning
5	92	Section 9	estate that is administered or managed	3.1 - term given Act-wide meaning
5	92	Section 9	fees	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	interest	3.3 - term used with same meaning throughout Act
5	92	Section 9	interests	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	law	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	liability	3.3 - term used with same meaning throughout Act
5	93	Section 9 (definition of licensed trustee company)	licensed trustee company	3.3 - term used with same meaning throughout Act
5	94	Section 9	person with a proper interest	3.3 - term used with same meaning throughout Act
5	94	Section 9	receiving company	3.1 - term given Act-wide meaning
5	94	Section 9	traditional trustee company services	3.3 - term used with same meaning throughout Act
5	94	Section 9	transfer determination	3.1 - term given Act-wide meaning
5	94	Section 9	transferring company	3.1 - term given Act-wide meaning
5	94	Section 9	trustee company	3.3 - term used with same meaning throughout Act
5	94	Section 9	trustee company provisions	3.1 - term given Act-wide meaning
5	94	Section 9	unacceptable control situation	3.1 - term given Act-wide meaning
5	94	Section 9	voluntary transfer determination	3.1 - term given Act-wide meaning
5	94	Section 9	will	3.3 - term used with same meaning throughout Act
5	95	Paragraph 490(1)(c)	trustee company	3.3 - term used with same meaning throughout Act
5	96	Subsection 490(2)	person with a proper interest	3.3 - term used with same meaning throughout Act
5	97	Section 601RAA	estate that is administered or managed	3.1 - term given Act-wide meaning
5	98	Section 601RAB (heading)	client	4 - consistent and intuitive headings for definition sections
5	99	Paragraph 601RAB(2A)(e)	unacceptable control situation	3.1 - term given Act-wide meaning
5	100	Subsection 601SBB(1A)	estate that is administered or managed	3.1 - term given Act-wide meaning
5	101	Subsection 601SCA(1)	common fund	3.2 - term with different meanings in different areas of the Act
5	102	Section 601VAA	unacceptable control situation	3.1 - term given Act-wide meaning
5	103	Section 601VAC	unacceptable control situation	4 - consistent and intuitive headings

5	104	Section 601WAA	estate assets and liabilities	3.1 - term given Act-wide meaning
5	105	Paragraph 601WBA(1)(a)	compulsory transfer determination	3.1 - term given Act-wide meaning
5	107	Subsection 601WCA(1)	authorised ASIC officer	2 - no defined term if not frequently used
5	108	Subparagraph 601WCB(b)(i)	authorised ASIC officer	2 - no defined term if not frequently used
5	109	Subparagraph 601WCC(1)(b)(i)	authorised ASIC officer	2 - no defined term if not frequently used
5	110	Subsection 601WDA(1)	cancel	2 - no defined term if not frequently used
5	110	Subsection 601WDA(1)	licence	2 - no defined term if not frequently used
5	112	Section 601WCI	authorised ASIC officer	2 - no defined term if not frequently used
5	113	Paragraph 792A(1)(h)	unacceptable control situation	3.1 - term given Act-wide meaning
5	114	Paragraph 821A(1)(g)	unacceptable control situation	3.1 - term given Act-wide meaning
6	118	Paragraphs 617(1)(a) and (3)(a)	bid class	6 - bold italics only used when defining
7	119	Subsection 5(1) (paragraph (a) of the definition of member) (ASIC Act)	Takeovers Panel	5 - intuitive labelling
7	120	Subsection 5(1) (PARAGRAPH (Takeovers Panel	5 - intuitive labelling
7	121	Subsection 5(1) (definition of Panel)	Panel	5 - intuitive labelling
7	122	Subsection 5(1) (definition of Panel proceedings)	Panel proceedings	5 - intuitive labelling
7	123	Subsection 5(1) (definition of President)	Takeovers Panel	5 - intuitive labelling
7	124	Subsection 5(1) (ASIC Act)	Takeovers Panel	5 - intuitive labelling
7	124	Subsection 5(1) (ASIC Act)	Takeovers Panel proceedings	5 - intuitive labelling
7	125	Subsection 5(1) (paragraph (b) of the definition of witness) (ASIC Act)	Takeovers Panel	5 - intuitive labelling

7	126	Paragraph 11(2)(a),	Takeovers Panel	5 - intuitive labelling
		Paragraph 127(4)(a),		
		Section 172, Section 173,		
		Section 174 (heading),		
		Section 174, Subsection		
		183(1), Division 2 of Part		
		10 (heading), Section 184		
		(heading), Section 184,		
		Subsection 185(1),		
		Paragraph 185(1)(b),		
		Subsection 185(1A),		
		Subsections 185(2) and		
		(3), Section 186, Division		
		3 of Part 10 (heading),		
		Section 187, Section 187,		
		Section 188, Section 190		
		(heading), Subsections		
		190(1) and (2)		
7	127	Subsection 190(3) (ASIC	Takeovers Panel proceedings	5 - intuitive labelling
		Act)		
7	128	Section 192, Section 193,	Takeovers Panel	5 - intuitive labelling
		Section 194 (heading),		
		Section 194, Section 195,		
		Section 197, Section 199,		
		Section 200 (heading),		
		Paragraph 200(1)(a),		
		Paragraph 200(1)(a),		
		Paragraph 200(1)(b),		
		Section 201, Section		
		201A (heading), Section		
		201A, Subparagraph		
		243(a)(i), Paragraph		
		246(1)(e) (ASIC Act)		
7	129	Section 9 (definition of	Takeovers Panel	5 - intuitive labelling
		Panel)		
7	130	Section 9 (definition of	Takeovers Panel	5 - intuitive labelling
		Panel)		
		<u> </u>	<u> </u>	

		1	1	1
7	131	Subsection 655A(1)	Takeovers Panel	5 - intuitive labelling
		(note), Paragraph		
		655B(1)(b), Subsections		
		656A(1), (2) and (3),		
		Subsection 656A(5),		
		Paragraph 656A(5)(a),		
		Paragraph 656A(5)(b),		
		Subsection 656A(5),		
		Section 656B,		
		Subsections 657A (1), (2),		
		(3) and (4), Subsection		
		657A(6), Paragraph		
		657A(6)(b), Subsection		
		657A(7), Section 657A,		
		Section 657B (heading),		
		Section 657B, Section		
		657C, Section 657D		
		(heading), Section 657D,		
		Section 657E, Section		
		· ·		
		657EA (heading), Section		
		657EA, Section 657EB,		
		Section 657F (heading),		
		Section 657G (heading),		
		Subsection 657G(1),		
		Subsection 657G(1),		
		Paragraph 657G(2)(b),		
		Paragraphs 657G(2)(c)		
8	132	Subsection 5(1) (ASIC	arrangement	3.2 - term with different meanings in
		Act)		different areas of the Act
8	133	Subsection 5(1)	crowd-funding service	3.3 - term used with same meaning
		(definition of crowd-		throughout Act
		funding service) (ASIC		
		Act)		
8	134	Subsection 5 (1) (ASIC	dealing	3.2 - term with different meanings in
		Act)		different areas of the Act
8	135	Subsection 5(1)	financial product	3.2 - term with different meanings in
		(paragraph (b) of		different areas of the Act
		definition of financial		
		product) (ASIC Act)		
8	136	Subsection 5(1)	financial service	3.3 - term used with same meaning
		(paragraph (b) of		throughout Act
		definition of financial		
		service) (ASIC Act)		
8	137	Subsection 5(1) (note to	financial service	3.3 - term used with same meaning
		definition of financial		throughout Act
		service) (ASIC Act)		
8	138	Subsection 5 (1) (ASIC	issue	3.2 - term with different meanings in
		Act)		different areas of the Act
8	138	Subsection 5(1) (ASIC	issuer	3.2 - term with different meanings in
		Act)		different areas of the Act
	I	· ·•1	l	3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3

8	138	Subsection 5(1) (ASIC	issuer	3.3 - term used with same meaning
		Act)		throughout Act
8	138	Subsection 5(1) (ASIC	person	3.2 - term with different meanings in
		Act)		different areas of the Act
8	139	Subsection 5(1)	proposed action notice	3.3 - term used with same meaning
		(definition of proposed		throughout Act
		action notice) (ASIC Act)		
8	140	Subsection 5(1) (ASIC	regulated superannuation fund	3.3 - term used with same meaning
		Act)		throughout Act
8	141	Subsection 5(1) (ASIC	relevant provider	3.3 - term used with same meaning
		Act)		throughout Act
8	141	Subsection 5(1) (ASIC	restricted civil penalty	3.3 - term used with same meaning
		Act)	provision	throughout Act
8	142	Subsection 5(1) (ASIC	securities	3.2 - term with different meanings in
		Act)		different areas of the Act
8	143	Subsection 5(1)	Tax Practitioners Board	3.1 - term given Act-wide meaning
		(definition of Tax		
		Practitioners Board)		
8	144	Subsection 5(2) (ASIC	terms used in the ASIC Act	3 - all terms in s 9
		Act)		
8	145	Subsection 12BA(1)	superannuation trustee service	3.1 - term given Act-wide meaning
		(definition of		
		superannuation trustee		
		service) (ASIC Act)		
8	146	Subsection 12DU(a) (ASIC	personal advice	3.1 - term given Act-wide meaning
		Act)		
8	147	Subparagraph	AFCA scheme	3.1 - term given Act-wide meaning
		127(4)(aa)(i) (ASIC Act)		
8	148	Section 9	able to be traded	3.2 - term with different meanings in
				different areas of the Act
8	149	Section 9 (definition of	acquire	3.3 - term used with same meaning
		acquire)		throughout Act
8	150	Section 9	AFCA	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA scheme	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA staff member	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA superannuation scheme	3.2 - term with different meanings in
				different areas of the Act
8	150	Section 9	anniversary day	3.1 - term given Act-wide meaning
8	151	Section 9 (definition of	annual turnover	3.3 - term used with same meaning
		annual turnover)		throughout Act
8	152	Section 9	annuity policy	3.1 - term given Act-wide meaning
8	152	Section 9	apportionable claim	3.1 - term given Act-wide meaning
8	152	Section 9	appropriate	3.1 - term given Act-wide meaning
8	152	Section 9	approved deposit fund	3.3 - term used with same meaning
				throughout Act
8	153	Section 9 (definition of	arrangement	3.2 - term with different meanings in
		arrangement)		different areas of the Act
8	153	Section 9 (definition of	arrangements	3.2 - term with different meanings in
		arrangement)		different areas of the Act
-				

8 154 Section 9 asset-based fee 3.3 - term used with same meaning throughout Act 1.55 Section 9 (definition of Australian CS facility licence) 1.3 - term used with same meaning throughout Act 1.5 Section 9 (definition of Australian derivative trade repository licence 1.5 Section 9 (definition of Australian derivative trade repository licence 1.5 Section 9 (definition of Australian financial services licence) 1.5 Section 9 (definition of Australian financial services licence) 1.5 Section 9 (definition of Australian market licence 1.5 Section 9 (definition of Australian market licence 1.5 Section 9 (definition of Australian market licence 1.5 Section 9 authorised clearing and 1.5 Section 9 authorised dearing and 1.5 Section 9 authorised representative 1.5 Section 9 BAS agent 1.5 Section 9 Basic deposit product 1.5 Section 9 Benefit ratio 1.5 Section 9 Section 9 Cash Settlement Fact Sheet 1.5 Section 9 Section 9 Cash Settlement Fact Sheet 1.5 Section 9 Cash Settlement Fact Sheet 1.5 Section 9 Section 9 Cash Settlement Fact Sheet 1.5 Section 9 Section 9 Cash					
8 155 Section 9 (definition of BAS agent 2 no defined term if not frequently used setting 9 basic banking product 1 Section 9 basic banking product 3.3 - term used with same meaning throughout Act 1 Section 9 benefit ratio requirements 1 Section 9 borrowed 3.1 - term given Act-wide meaning 1 Section 9 borrowed 3.3 - term used with same meaning 1 Section 9 borrowing 3.3 - term used with same meaning 1 Section 9 borrowing 3.3 - term used with same meaning 1 Section 9 borrowing 3.3 - term used with same meaning 1 Section 9 benefit ratio 9 basic deposit product 3.1 - term given Act-wide meaning 1 Section 9 benefit ratio 9 benefit rati	8	154	Section 9	asset-based fee	_
Australian CS facility licence) 8	0	155	Saction 0 (definition of	Australian CS facility licence	
Bilicence Australian derivative trade repository licence Australian derivative trade repository licence	0	133	,	Australian C3 facility licence	_
8 156 Section 9 (definition of Australian derivative trade repository licence) 8 157 Section 9 (definition of Australian financial services licence) 8 158 Section 9 (definition of Australian financial services licence) 8 158 Section 9 (definition of Australian financial services licence) 8 158 Section 9 (definition of Australian market licence throughout Act startian market licence) 8 159 Section 9 authorised clearing and settlement facility sused subject of Australian market licence authorised representative throughout Act licence set of Australian market licence set of Australian marke					tilloughout Act
Australian derivative trade repository licence) 8		456	,	A staller de de de la tenda	2.2 1 1. 11
trade repository licence) 8 157 Section 9 (definition of Australian financial services licence) 8 158 Section 9 (definition of Australian market licence licence) 8 159 Section 9 (definition of Australian market licence licence) 8 159 Section 9 authorised clearing and settlement facility licence li	8	156	,		_
8 157 Section 9 (definition of Australian financial services licence) 8 158 Section 9 (definition of Australian market licence services licence) 8 159 Section 9 authorised clearing and settlement facility 8 159 Section 9 authorised clearing and settlement facility 8 159 Section 9 (definition of BAS agent settlement facility used settlement facility and facility				repository licence	throughout Act
Australian financial services licence) 8			trade repository licence)		
Services licence	8	157	Section 9 (definition of	Australian financial services	3.3 - term used with same meaning
8 158 Section 9 (definition of Australian market licence) 8 159 Section 9 authorised clearing and settlement facility 8 159 Section 9 authorised representative 3.3 - term used with same meaning throughout Act 8 160 Section 9 (definition of BAS agent) used 8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 basic banking product 3.3 - term used with same meaning throughout Act 8 161 Section 9 basic deposit product 3.3 - term given Act-wide meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning 3.3 - term used with same meaning 4.1 - term given Act-wide meaning 4.2 - term given Act-wide meaning 4.3 - term used with same meaning 4.3 - t			Australian financial	licence	throughout Act
Australian market licence) 8			services licence)		
licence Section 9	8	158	Section 9 (definition of	Australian market licence	3.3 - term used with same meaning
8 159 Section 9 authorised clearing and settlement facility 8 159 Section 9 authorised representative 3.3 - term given Act-wide meaning throughout Act 8 160 Section 9 (definition of BAS agent) 2 - no defined term if not frequently used 8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 basic deposit product 3.3 - term used with same meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning throughout Act 3.1 - term given Act-wide meaning section 9 benefit ratio requirements 3 - all terms in s 9 8 161 Section 9 binder 3.1 - term given Act-wide meaning section 9 body corporate licensee 3.1 - term given Act-wide meaning section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act different areas of the Act 3.1 - term given Act-wide meaning section 9 carry on 3 - all terms in s 9 8 162 Section 9 cancellation time 3.1 - term given Act-wide meaning section 9 carry on 3 - all terms in s 9 8 163 Section 9 case 1 - not defined if ordinary meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same			Australian market		throughout Act
8 159 Section 9 authorised clearing and settlement facility 8 159 Section 9 authorised representative 3.3 - term given Act-wide meaning throughout Act 8 160 Section 9 (definition of BAS agent) 2 - no defined term if not frequently used 8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 basic deposit product 3.3 - term used with same meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning throughout Act 3.1 - term given Act-wide meaning section 9 benefit ratio requirements 3 - all terms in s 9 8 161 Section 9 binder 3.1 - term given Act-wide meaning section 9 body corporate licensee 3.1 - term given Act-wide meaning section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act different areas of the Act 3.1 - term given Act-wide meaning section 9 carry on 3 - all terms in s 9 8 162 Section 9 cancellation time 3.1 - term given Act-wide meaning section 9 carry on 3 - all terms in s 9 8 163 Section 9 case 1 - not defined if ordinary meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning sufficient or term is redundant 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same meaning throughout Act 3.3 - term used with same			licence)		
settlement facility 8	8	159	'	authorised clearing and	3.1 - term given Act-wide meaning
8 160 Section 9 BAS agent 2 - no defined term if not frequently used 3.3 - term used with same meaning throughout Act 2 - no defined term if not frequently used 3.4 - term given Act-wide meaning throughout Act 3.5 - term used with same meaning throughout Act 3.6 - term used with same meaning throughout Act 3.6 - term used with same meaning throughout Act 3.6 - term used with same meaning throughout Act 3.7 - term used with same meaning throughout Act 3.8 - term used with same meaning throughout Act 3.1 - term given Act-wide meaning 3.2 - term with different meaning in different areas of the Act 3.3 - term used with same meaning 3.4 - term given Act-wide meaning 3.5 - term with different meaning in different areas of the Act 3.6 - term given Act-wide meaning 3.7 - term given Act-wide meaning 3.8 - term used with same meaning 3.9 - term with different meaning 3.9 - term with different meaning 3.0 - term given Act-wide meaning 3.1 - term given A				_	
throughout Act 8	8	159	Section 9	· · · · · · · · · · · · · · · · · · ·	3.3 - term used with same meaning
8 161 Section 9 (definition of BAS agent) 8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 basic deposit product 3.3 - term used with same meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning 3 - all terms in s 9 8 161 Section 9 binder 3.1 - term given Act-wide meaning 3.2 - term with different meaning 3.3 - all terms in s 9 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act 8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 3.3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 3.1 - term given Act-wide meaning 3.1 - term given Act-wide meaning sufficient or term is redundant 3.1 - term given Act-wide meaning information website 3.1 - term given Act-wide meaning 3.3 - term used with same meaning 4 throughout Act 4 throughout Act 4					
8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout Act 3.3 - term used with same meaning throughout Act 3.1 - term given Act-wide meaning throughout Act 3.3 - term given Act-wide meaning throughout Act 3.4 - term given Act-wide meaning 3.5 - term given Act-wide meaning 3.6 - term given Act-wide meaning 3.7 - term given Act-wide meaning 3.8 - term used with same meaning 3.9 - term used with same meaning 3.1 - term given Act-wide meaning 3.1 - term given Act-wide meaning 3.3 - term used with same meaning 3.3 - term used with same meaning 3.3 - term used with same meaning 4 throughout Act 3.3 - term used with same meaning 4 throughout Act 3.3 - term used with same meaning 4 throughout Act 4 - term given Act-wide meaning 4 throughout Act 4 - term given Act-wide meaning 4 throughout Act 4 - term given Act-wide meaning 4 throughout Act 4 - term given Act-wide meaning 4 throughout Act 4 - term given Act-wide meaning 4 throughout Act 4 - term given Act-wide 4 - term given Act-wide 4 - te	8	160	Section 9 (definition of	BAS agent	
8 161 Section 9 basic banking product 3.1 - term given Act-wide meaning throughout throughout Act wide meaning throughout throughout throughout throughout Act wide meaning 3.1 - term given Act-wide meaning 3.2 - term with different meanings in different areas of the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and the Act wide meaning 3.3 - all terms in s 9 and terms in				J. io agent	· · ·
8 161 Section 9 basic deposit product 3.3 - term used with same meaning throughout Act 8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning 8 161 Section 9 binder 3.1 - term given Act-wide meaning 8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowed 3 - all terms with different meanings in different areas of the Act 9 borrowing 3.2 - term with different meanings in different areas of the Act 9 cancellation time 3.1 - term given Act-wide meaning 9 and 162 Section 9 carry on 3 - all terms in s 9 and 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning 9 throughout Act 9 and 164 Section 9 cause 1 - not defined if ordinary meaning 9 sufficient or term is redundant 9 and 165 Section 9 CGS depository interest 1 - not defined if ordinary meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - term given Act-wide meaning 165 Section 9 Cdaimant intermediary 1 - term given Act-wide meaning 175 Section 9 Cdaimant intermediary 1 - term given Act-wide meaning 175 Section 9 Cdaimant intermediary 1 - term given Act-wide meaning 175 Section 9 Cdaims handling and 175 Section 9 Cdaims handling	8	161		hasic hanking product	
throughout Act section 9 benefit ratio requirements a - all terms in s 9 throughout Act-wide meaning a - all terms in s 9 throughout Act-wide meaning a - all terms in s 9 throughout Act-wide meaning a - all terms in s 9 throughout Act-wide meaning a - all terms in s 9 throughout Act-wide meaning a - all terms in s 9 throughout Act throughout Act a - all terms in s 9 throughout Act a - all terms in s 9 throughout Act a - all terms in s 9 throughout Act a - all terms in s 9 throughout Act a - all terms in s 9 a - all terms in s elling in fording in different meaning sufficient or term in security in terms in secu				<u> </u>	+
8 161 Section 9 benefit ratio 3.1 - term given Act-wide meaning 8 161 Section 9 benefit ratio requirements 3 - all terms in s 9 3 - 3.1 - term given Act-wide meaning 8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 borrowed 3 - all terms in s 9 3 - 3.2 - term with different meaning 9 3.2 - term with different meaning 9 3.3 - term given Act-wide meaning 9 3.4 - term given Act-wide meaning 9 3.5 - term with different meaning 9 3.6 - term with different meaning 9 3.6 - term given Act-wide meaning 9 3.6 - term given Act-wide meaning 9 3.7 - term given Act-wide meaning 9 3.1 - term given Act-wide meaning 9 3.1 - term given Act-wide meaning 9 3.2 - term used with same meaning 9 3.3 - term used with same meaning 9 3.3 - term used with same meaning 9 3.4 - term given Act-wide meaning 9 3.5 - term given Act-wide meaning 9 3.1 - term given Act-wide meaning 9 3.3 - term used with same 9	8	101	Section 9	basic deposit product	-
8 161 Section 9 benefit ratio requirements 3 - all terms in s 9 8 161 Section 9 binder 3.1 - term given Act-wide meaning 8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act 8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 8 162 Section 9 carry on 3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 claimant intermediary 3.3 - term used with same meaning throughout Act 8 165 Section 9 (definition of claims handling and settling service) 8 167 Section 9 (paragraph (d) of the definition of class)	0	161	Saction 0	hanafit ratio	
8 161 Section 9 binder 3.1 - term given Act-wide meaning 8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act different areas of the Act 3.3 - all terms in s 9 8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 8 162 Section 9 (definition of carry on 3 - all terms in s 9 carry on) 3 - all terms in s 9 carry on) 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 3.1 - term given Act-wide meaning information website 3.3 - term used with same meaning throughout Act 3.3 - term used with same					
8 161 Section 9 body corporate licensee 3.1 - term given Act-wide meaning 8 161 Section 9 borrowed 3 - all terms in s 9 3.2 - term with different meanings in different areas of the Act 3.1 - term given Act-wide meaning in different areas of the Act 3.1 - term given Act-wide meaning 3.2 - term with different meanings in different areas of the Act 3.3 - term given Act-wide meaning 4 and 5 section 9 carry on 3 - all terms in s 9 and 5 and 1 terms in s 9 and 1 term given Act-wide meaning information website and 1 terms in s 9 and 1 terms		-			
8 161 Section 9 borrowed 3 - all terms in s 9 8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act 8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 8 162 Section 9 (definition of carry on 3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 Claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 165 Section 9 Claims handling and settling service 8 167 Section 9 (paragraph (d) of the definition of class) 8 167 Section 9 (paragraph (d) of the definition of class)		+			
8 161 Section 9 borrowing 3.2 - term with different meanings in different areas of the Act 8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 162 Section 9 (definition of carry on 3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 1 - not defined or term is redundant 1 - term given Act-wide meaning 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning 165 Section 9 CGS depository interest 1 - not defined or term is redundant 1 - not defined or term is redundant 1 - not defined or term is redundant 1 - not defined if ordinary meaning 1 - not defined if or		†		· · ·	
different areas of the Act 8		-			
8 161 Section 9 cancellation time 3.1 - term given Act-wide meaning 8 162 Section 9 (definition of carry on 3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 166 Section 9 claimant intermediary 3.1 - term given Act-wide meaning claims handling and settling service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 8 167 Section 9 (paragraph (d) of the definition of class)	8	161	Section 9	borrowing	
8 162 Section 9 (definition of carry on 3 - all terms in s 9 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 167 Section 9 (definition of claims handling and settling service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class)					different areas of the Act
carry on) 8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 Cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 Choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 Claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 Claimant intermediary 3.1 - term given Act-wide meaning claims handling and service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 8 168 Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act	8	161	Section 9	cancellation time	3.1 - term given Act-wide meaning
8 163 Section 9 Cash Settlement Fact Sheet 3.3 - term used with same meaning throughout Act 8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning 8 165 Section 9 CGS depository interest information website 8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 claimant intermediary 3.1 - term given Act-wide meaning claims handling and settling service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 8 168 Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act	8	162	Section 9 (definition of	carry on	3 - all terms in s 9
throughout Act 8			carry on)		
8 164 Section 9 cause 1 - not defined if ordinary meaning sufficient or term is redundant 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 (definition of claims handling and settling service) 8 167 Section 9 (paragraph (d) of the definition of class) 8 168 Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act	8	163	Section 9	Cash Settlement Fact Sheet	3.3 - term used with same meaning
sufficient or term is redundant 8					throughout Act
8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning 8 165 Section 9 CGS depository interest 3.1 - term given Act-wide meaning information website 8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 (definition of claims handling and settling service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) Section 9 (paragraph (d) the definition of class)	8	164	Section 9	cause	1 - not defined if ordinary meaning
8 165 Section 9 CGS depository interest information website 8 165 Section 9 Choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 Claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 Claimant intermediary 3.3 - term used with same meaning claims handling and service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act					sufficient or term is redundant
8 165 Section 9 CGS depository interest information website 8 165 Section 9 Choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 Claimant intermediary 3.1 - term given Act-wide meaning throughout Act 8 166 Section 9 Claimant intermediary 3.3 - term used with same meaning claims handling and service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act	8	165	Section 9	CGS depository interest	3.1 - term given Act-wide meaning
information website 8 165 Section 9				·	
8 165 Section 9 choice product 3.3 - term used with same meaning throughout Act 8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning service 166 Section 9 (definition of claims handling and service 167 Section 9 (paragraph (d) of the definition of class) 167 Section 9 (paragraph (d) class 168 Section 9 (paragraph (d) class 169 Section 9 (paragr				1	
8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning 8 166 Section 9 (definition of claims handling and settling claims handling and service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 8 168 Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act	8	165	Section 9		3.3 - term used with same meaning
8 165 Section 9 claimant intermediary 3.1 - term given Act-wide meaning 8 166 Section 9 (definition of claims handling and settling service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 8 168 Section 9 (paragraph (d) class 3.3 - term used with same meaning throughout Act					
8 166 Section 9 (definition of claims handling and settling claims handling and service throughout Act 8 167 Section 9 (paragraph (d) of the definition of class) 168 169 Section 9 (definition of class) 169 Section 9 (definition of class) 160 Section 9 (definition of claims handling and settling throughout Act 160 Section 9 (definition of claims handling and settling throughout Act	8	165	Section 9	claimant intermediary	
claims handling and service throughout Act 8 167 Section 9 (paragraph (d) class of the definition of class) 167 Section 9 (paragraph (d) class of the definition of class)		-		,	
settling service) 8 167 Section 9 (paragraph (d) class of the definition of class) 3.3 - term used with same meaning throughout Act		-30	•		_
8 167 Section 9 (paragraph (d) class of the definition of class) 3.3 - term used with same meaning throughout Act			_	Jet vice	an oughout net
of the definition of class) throughout Act	-	167		class	2.2 - term used with same meaning
		101		Class	_
8 168 Section 9 class of product advice 3.1 - term given Act-wide meaning			or the definition of class)		tilloughout Act
8 168 Section 9	<u> </u>	100	Coation C	alone of product addit-	2.1 torm given Act wilds assessing
	<u>8</u>	108	Section 9	ciass of product advice	13.1 - term given Act-wide meaning

8	168	Section 9	clawback requirements	3.1 - term given Act-wide meaning
8	168	Section 9	clearing and settlement	3.3 - term used with same meaning
			arrangements	throughout Act
8	169	Section 9 (definition of	clearing and settlement facility	3.3 - term used with same meaning
		clearing and settlement		throughout Act
		facility)		
8	170	Section 9	clearing requirements	3.1 - term given Act-wide meaning
8	170	Section 9	client	3.3 - term used with same meaning
				throughout Act
8	170	Section 9	client money reporting rules	3.3 - term used with same meaning
				throughout Act
8	170	Section 9	Code of Ethics	3.1 - term given Act-wide meaning
8	170	Section 9	compensation arrangements	3.1 - term given Act-wide meaning
8	170	Section 9	compensation rules	3.1 - term given Act-wide meaning
8	170	Section 9	complaint	3.2 - term with different meanings in
				different areas of the Act
8	170	Section 9	concurrent wrongdoer	3.1 - term given Act-wide meaning
8	170	Section 9	conflicted remuneration	3.3 - term used with same meaning
				throughout Act
8	170	Section 9	consumer credit insurance	3.1 - term given Act-wide meaning
8	170	Section 9	contract of insurance	3.2 - term with different meanings in
				different areas of the Act
8	170	Section 9	core obligation	3.1 - term given Act-wide meaning
8	170	Section 9	CPD provision	3.1 - term given Act-wide meaning
8	170	Section 9	CPD year	3.1 - term given Act-wide meaning
8	170	Section 9	crowd-funding service	3.3 - term used with same meaning
				throughout Act
8	170	Section 9	CS facility licensee	3.3 - term used with same meaning
_				throughout Act
8	170	Section 9	custodial arrangement	3.1 - term given Act-wide meaning
8	170	Section 9	custodian	3.3 - term used with same meaning
	4=4	0 0 / 1 6: 6	<u> </u>	throughout Act
8	171	Section 9 (definition of	dealing	3.2 - term with different meanings in
_		dealing)		different areas of the Act
8	172	Section 9	death benefit decision-maker	3.1 - term given Act-wide meaning
8	173	Section 9 (definition of	defective	3.2 - term with different meanings in
		defective)		different areas of the Act
8	174	Section 9	deposit product	3.1 - term given Act-wide meaning
8	175	Section 9 (definition of	derivative	3.3 - term used with same meaning
		derivative)		throughout Act
8	176	Section 9	derivative trade data	3.1 - term given Act-wide meaning
8	176	Section 9	derivative trade repository	3.3 - term used with same meaning
				throughout Act
8	177	Section 9 (definition of	derivative trade repository	3.3 - term used with same meaning
		derivative trade	rules	throughout Act
		repository rules)		
8	178	Section 9	derivative trade repository	3.3 - term used with same meaning
			licensee	throughout Act
8	178	Section 9	derivative transaction	3.1 - term given Act-wide meaning
ı 8 l	178	Section 9	derivative transaction	3.1 - term given Act-wide meaning

	170	Continuo (definition of	desires to section and se	2.2. towns were designed
8	179	Section 9 (definition of	derivative transaction rules	3.3 - term used with same meaning
		derivative transaction		throughout Act
		rules)		
8	180	Section 9	disclosure document or	3.1 - term given Act-wide meaning
_			statement	
8	181	Section 9 (paragraph (a)	dispose	3.3 - term used with same meaning
		of the definition of		throughout Act
		dispose)		
8	182	Section 9	disqualified individual	3.3 - term used with same meaning
				throughout Act
8	182	Section 9	distribution information	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 arrangements	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 financial products	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 loss	3.1 - term given Act-wide meaning
8	182	Section 9	Division 4 arrangements	3.1 - term given Act-wide meaning
8	182	Section 9	education and training	3.1 - term given Act-wide meaning
			standards	
8	182	Section 9	employer-sponsor	3.1 - term given Act-wide meaning
8	182	Section 9	excluded conduct	3.1 - term given Act-wide meaning
8	182	Section 9	execution requirements	3.1 - term given Act-wide meaning
8	182	Section 9	exempt public sector	3.1 - term given Act-wide meaning
			superannuation scheme	
8	182	Section 9	facility	3.2 - term with different meanings in
			,	different areas of the Act
8	182	Section 9	fee disclosure statement	3.3 - term used with same meaning
				throughout Act
8	182	Section 9	fee recipient	3.3 - term used with same meaning
			·	throughout Act
8	182	Section 9	fidelity fund	3.1 - term given Act-wide meaning
8	183	Section 9 (definition of	financial benchmark	3.3 - term used with same meaning
		financial benchmark)		throughout Act
8	184	Section 9 (definition of	financial market	3.3 - term used with same meaning
	10.	financial market)		throughout Act
8	185	Section 9 (definition of	financial product	3.2 - term with different meanings in
		financial product)		different areas of the Act
8	186	Section 9	financial product advice	3.1 - term given Act-wide meaning
8	187	Section 9 (definition of	financial service	3.3 - term used with same meaning
	10,	financial service)	Timanolai Sci Vice	throughout Act
8	188	Section 9 (definition of	Financial Services and Credit	3.3 - term used with same meaning
	100	Financial Services and	Panel	throughout Act
		Credit Panel)	i dilei	an oughout Act
8	189	Section 9 (definition of	financial services business	1 - not defined if ordinary meaning
"	103	financial Services	imanciai sei vices busilless	sufficient or term is redundant
		business)		Sumicient of term is redunidable
8	100	·	Financial Services Cuide	2 2 - term used with same meaning
ď	190	Section 9	Financial Services Guide	3.3 - term used with same meaning
	100	Coation C	financial comicae less	throughout Act
8	190	Section 9	financial services law	3.1 - term given Act-wide meaning
8	191	Section 9 (definition of	financial services licensee	3.3 - term used with same meaning
		financial services		throughout Act
		licensee)		

8	192	Section 9 (definition of	financial year	3.2 - term with different meanings in
		financial year)		different areas of the Act
8	193	Section 9	foreign exchange contract	3.1 - term given Act-wide meaning
8	194	Section 9 (definition of	foreign passport fund product	3.3 - term used with same meaning
		foreign passport fund		throughout Act
		product)		
8	195	Section 9	foreign qualification	3.1 - term given Act-wide meaning
8	195	Section 9	funeral benefit	3.1 - term given Act-wide meaning
8	195	Section 9	further market-related advice	3.1 - term given Act-wide meaning
8	195	Section 9	general advice	3.3 - term used with same meaning throughout Act
8	195	Section 9	general insurance product	3.1 - term given Act-wide meaning
8	195	Section 9	generally available	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	holder	3.3 - term used with same meaning throughout Act
8	195	Section 9	information	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	information statement	3.1 - term given Act-wide meaning
8	195	Section 9	inside information	3.1 - term given Act-wide meaning
8	195	Section 9	insurance claims manager	3.1 - term given Act-wide meaning
8	195	Section 9	insurance product	3.3 - term used with same meaning throughout Act
8	195	Section 9	insured	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	insurer	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	investment life insurance product	3.1 - term given Act-wide meaning
8	195	Section 9	involved in	3.3 - term used with same meaning throughout Act
8	195	Section 9 (definition of issue)	issue	3.2 - term with different meanings in different areas of the Act
8	196	Section 9	issuer	3.3 - term used with same meaning throughout Act
8	197	Section 9	issue situation	3.1 - term given Act-wide meaning
8	197	Section 9	issue statement	3.1 - term given Act-wide meaning
8	197	Section 9	issue	3.2 - term with different meanings in different areas of the Act
8	197	Section 9	kind	3.3 - term used with same meaning throughout Act
8	197	Section 9	licensed CS facility	3.3 - term used with same meaning throughout Act
8	197	Section 9	licensed derivative trade	3.1 - term given Act-wide meaning
-			repository	
8	197	Section 9	licensed market	3.3 - term used with same meaning throughout Act
8	197	Section 9	life policy	3.1 - term given Act-wide meaning
8	197	Section 9	life policy fund	3.1 - term given Act-wide meaning
8	197	Section 9	life risk insurance product	3.1 - term given Act-wide meaning
U	13/	Dection 3	ine risk insurance product	13.1 - term given Act-wide meaning

8	197	Section 9	limit	3.3 - term used with same meaning
				throughout Act
8	197	Section 9	limited-service time-sharing adviser	3.1 - term given Act-wide meaning
8	198	Section 9 (definition of	listing rules	3.3 - term used with same meaning
		listing rules)		throughout Act
8	199	Section 9	makes a financial investment	3.1 - term given Act-wide meaning
8	199	Section 9	makes a market	3.1 - term given Act-wide meaning
8	199	Section 9	makes non-cash payments	3.1 - term given Act-wide meaning
8	200	Section 9 (definition of	managed investment product	3.3 - term used with same meaning
		managed investment		throughout Act
		product)		
8	202	Section 9	manages financial risk	3.1 - term given Act-wide meaning
8	202	Section 9	margin call	3.3 - term used with same meaning
				throughout Act
8	202	Section 9	margin lending facility	3.3 - term used with same meaning
				throughout Act
8	202	Section 9	market	3.1 - term given Act-wide meaning
8	203	Section 9 (definition of	market integrity rules	3.3 - term used with same meaning
		market integrity rules)		throughout Act
8	204	Section 9	market licensee	3.1 - term given Act-wide meaning
8	204	Section 9	material effect	3.2 - term with different meanings in
				different areas of the Act
8	204	Section 9	MySuper product	3.3 - term used with same meaning
				throughout Act
8	204	Section 9	NGF	3.1 - term given Act-wide meaning
8	204	Section 9	non-standard margin lending facility	3.1 - term given Act-wide meaning
8	204	Section 9	offering	3.2 - term with different meanings in different areas of the Act
8	204	Section 9	ongoing fee	3.3 - term used with same meaning
			Jongon Bree	throughout Act
8	204	Section 9	ongoing fee arrangement	3.3 - term used with same meaning
				throughout Act
8	205	Section 9 (definition of operated in this jurisdiction)	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	206	Section 9 (definition of	operating rules	3.3 - term used with same meaning
		operating rules)		throughout Act
8	207	Section 9	Part 7.5 authority	3 - all terms in s 9
8	207	Section 9	Part 7.5 regulated fund	3 - all terms in s 9
8	208	Section 9 (definition of person)	person	3.2 - term with different meanings in different areas of the Act
8	209	Section 9	personal advice	3.3 - term used with same meaning throughout Act
8	209	Section 9	policy cost	3.1 - term given Act-wide meaning
8	209	Section 9	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	209	Section 9	prescribed CS facility	3.1 - term given Act-wide meaning
		1	11	- January Mac Michael

8	210	Section 9 (definition of	prescribed derivative trade	3.1 - term given Act-wide meaning
		prescribed derivative	repository	
		trade repository)		
8	211	Section 9 (definition of	procure	3.2 - term with different meanings in
		procure)		different areas of the Act
8	212	Section 9 (definition of	Product Disclosure Statement	3.3 - term used with same meaning
		Product Disclosure		throughout Act
		Statement)		
8	213	Section 9	product intervention order	3.1 - term given Act-wide meaning
8	216	Section 9	prohibition end day	3.1 - term given Act-wide meaning
8	217	Section 9 (definition of	proposed action notice	3.3 - term used with same meaning
		proposed action notice)		throughout Act
8	218	Section 9	provide	3.3 - term used with same meaning
				throughout Act
8	218	Section 9	provider	3.2 - term with different meanings in
				different areas of the Act
8	218	Section 9	provisional relevant provider	3.3 - term used with same meaning
			·	throughout Act
8	218	Section 9	purchase	3.2 - term with different meanings in
				different areas of the Act
8	218	Section 9	qualified tax relevant provider	3.1 - term given Act-wide meaning
8	218	Section 9	reasonable investigation	3.1 - term given Act-wide meaning
8	218	Section 9	reasonably apparent	3.2 - term with different meanings in
				different areas of the Act
8	218	Section 9	recent advising history	3.1 - term given Act-wide meaning
8	218	Section 9	recommendation situation	3.1 - term given Act-wide meaning
8	218	Section 9	Register of Relevant Providers	3.1 - term given Act-wide meaning
8	218	Section 9	registered tax agent or BAS agent	3 - all terms in s 9
8	218	Section 9	registration prohibition order	3.1 - term given Act-wide meaning
8	218	Section 9	registration suspension order	3.1 - term given Act-wide meaning
8	218	Section 9	regulated person	3.3 - term used with same meaning
				throughout Act
8	218	Section 9	regulated sale	3.2 - term with different meanings in
				different areas of the Act
8	218	Section 9	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	218	Section 9	relevant conduct	3.1 - term given Act-wide meaning
8	218	Section 9	relevant Division 3 financial	3.1 - term given Act-wide meaning
			products	
8	218	Section 9	relevant financial products	3.1 - term given Act-wide meaning
8	218	Section 9	relevant personal	3.1 - term given Act-wide meaning
			circumstances	
8	218	Section 9	relevant provider	3.2 - term with different meanings in
				different areas of the Act
8	218	Section 9	renewal period	3.1 - term given Act-wide meaning

8	219	Section 9 (definition of	Replacement Product	3.3 - term used with same meaning
		Replacement Product	Disclosure Statement	throughout Act
		Disclosure Statement)		
8	220	Section 9	reportable situation	3.3 - term used with same meaning
				throughout Act
8	220	Section 9	reporting period	3.2 - term with different meanings in
				different areas of the Act
8	220	Section 9	reporting requirements	3.2 - term with different meanings in
				different areas of the Act
8	220	Section 9	representative	3.3 - term used with same meaning
			·	throughout Act
8	221	Section 9 (definition of	response period	3.1 - term given Act-wide meaning
		response period)		
8	222	Section 9	responsible licensee	3.1 - term given Act-wide meaning
8	222	Section 9	responsible person	3.1 - term given Act-wide meaning
8	223	Section 9 (definition of	restricted civil penalty	3.3 - term used with same meaning
		restricted civil penalty	provision	throughout Act
		provision)		
8	224	Section 9 (definition of	retail client	3.3 - term used with same meaning
		retail client)		throughout Act
8	225	Section 9	retail product distribution	3.1 - term given Act-wide meaning
			conduct	
8	225	Section 9	review period	3.1 - term given Act-wide meaning
8	225	Section 9	review triggers	3.1 - term given Act-wide meaning
8	225	Section 9	risk insurance product	3.1 - term given Act-wide meaning
8	225	Section 9	RSA	3.1 - term given Act-wide meaning
8	225	Section 9	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	225	Section 9	RSA provider	3.1 - term given Act-wide meaning
8	225	Section 9	RSE licence	3.1 - term given Act-wide meaning
8	225	Section 9	sale	3.2 - term with different meanings in
				different areas of the Act
8	225	Section 9	sale Statement	3.1 - term given Act-wide meaning
8	225	Section 9	section 1020B products	3.1 - term given Act-wide meaning
8	225	Section 9	securities lending arrangement	3.1 - term given Act-wide meaning
8	225	Section 9	SEGC	3.1 - term given Act-wide meaning
8	225	Section 9	self managed superannuation	3.1 - term given Act-wide meaning
			fund	
8	225	Section 9	sinking fund policy	3 - all terms in s 9
8	225	Section 9	standard employer-sponsor	3.1 - term given Act-wide meaning
8	225	Section 9	standard employer-sponsored	3.1 - term given Act-wide meaning
			fund	
8	225	Section 9	standard employer-sponsored	3.1 - term given Act-wide meaning
			member	
8	225	Section 9	standard margin lending facility	3.1 - term given Act-wide meaning
8	225	Section 9	Statement of Advice	3.3 - term used with same meaning
				throughout Act
8	225	Section 9	superannuation complaint	3.1 - term given Act-wide meaning

8	225	Section 9	superannuation entity	3.3 - term used with same meaning
				throughout Act
8	225	Section 9	superannuation product	3.1 - term given Act-wide meaning
8	225	Section 9	superannuation provider	3.1 - term given Act-wide meaning
8	225	Section 9	superannuation trustee service	3.1 - term given Act-wide meaning
8	225	Section 9	supervisor	3.1 - term given Act-wide meaning
8	225	Section 9	Supplementary Financial Services Guide	3.1 - term given Act-wide meaning
8	226	Section 9 (definition of	Supplementary Product	3.3 - term used with same meaning
		Supplementary Product	Disclosure Statement	throughout Act
		Disclosure Statement)		
8	227	Section 9	suspension period	3.1 - term given Act-wide meaning
8	227	Section 9	target market	3.3 - term used with same meaning
				throughout Act
8	227	Section 9	target market determination	3.3 - term used with same meaning
				throughout Act
8	227	Section 9	tax (financial) advice service	3.1 - term given Act-wide meaning
8	227	Section 9	Tax Practitioners Board	3.1 - term given Act-wide meaning
8	227	Section 9	title document	3.1 - term given Act-wide meaning
8	227	Section 9	transfer	3.2 - term with different meanings in
	227	Jeetion 5	transier	different areas of the Act
8	227	Section 9	trustee	3.3 - term used with same meaning
	22,	Jection 5	li datee	throughout Act
8	227	Section 9	unsolicited contact	3 - all terms in s 9
8	227	Section 9	volume-based shelf-space fee	3.3 - term used with same meaning
	221	Section 5	Volume bused shell space rec	throughout Act
8	227	Section 9	wholesale client	3.3 - term used with same meaning
	,	Section 5	Wholesale shelle	throughout Act
8	227	Section 9	widely held market body	3 - all terms in s 9
8	227	Section 9	within authority	3.1 - term given Act-wide meaning
8	228	Section 92	securities	3.2 - term with different meanings in
	220	3661011 32	Securities	different areas of the Act
8	229	Subsection 92(4)	securities	3.2 - term with different meanings in
0	223	3ubsection 32(4)	Securities	different areas of the Act
8	220	Dorograph 22.4CU/CA\/b\	hadia dan asit mus du at	
0	230	Paragraph 324CH(6A)(b)	basic deposit product	3.3 - term used with same meaning
	221	Cubnaragraph	dorivativa	throughout Act
8	231	Subparagraph	derivative	3.3 - term used with same meaning
	222	440JA(b)(iv)	clearing and sattlement facilities	throughout Act
8	232	Subparagraph	clearing and settlement facility	3.3 - term used with same meaning
	222	440JA(d)(ii)	dorivativa	throughout Act
8	233	Subparagraph 453X(b)(iv)	uerivative 	3.3 - term used with same meaning
	22.4	Culprove comb. 4503// 11/19	alapsias and sattless of Co. 22	throughout Act
8	234	subparagraph 453X(d)(II)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	235	Subsection 601RAB(3)	financial service	3.3 - term used with same meaning
				throughout Act
8	236	Paragraph 601RAC(3)(e)	pooled superannuation trust	3.3 - term used with same meaning
				throughout Act
8	237	Subsection 609(9A)	clearing and settlement facility	3.3 - term used with same meaning
		\ \frac{\sigma^2 - 1}{2}		throughout Act
	<u> </u>	1	1	

8	238	Paragraph 636(1)(ga)	issue situation	3.1 - term given Act-wide meaning
8	239	Section 703A	clearing and settlement facility	3.3 - term used with same meaning
				throughout Act
8	240	Section 738D	retail client	3.1 - term given Act-wide meaning
8	241	Section 760B (table item	certain terms relating to	4 - consistent and intuitive headings
		1)	financial services and markets	for definition sections
8	242	Division 2 of Part 7.1	certain terms relating to	4 - consistent and intuitive headings
		(heading)	financial services and markets	for definition sections
8	243	Section 761A	able to be traded	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	acquire	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	AFCA	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA scheme	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA staff member	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA superannuation scheme	5 - intuitive labelling
8	243	Section 761A	annual turnover	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	annuity policy	3.1 - term given Act-wide meaning
8	243	Section 761A	approved deposit fund	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	arrangement	3.2 - term with different meanings in
				different areas of the Act
8	243	Section 761B	arrangement	3.2 - term with different meanings in
				different areas of the Act
8	243	Section 761A	Australian CS facility licence	3.3 - term used with same meaning
			·	throughout Act
8	243	Section 761A	Australian derivative trade	3.3 - term used with same meaning
			repository licence	throughout Act
8	243	Section 761A	Australian entity	2 - no defined term if not frequently
				used
8	243	Section 761A	Australian financial services	3.3 - term used with same meaning
			licence	throughout Act
8	243	Section 761A	Australian market licence	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	authorised clearing and	3.1 - term given Act-wide meaning
			settlement facility	
8	243	Section 761A	basic deposit product	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	binder	3.1 - term given Act-wide meaning
8	243	Section 761A	carried on in this jurisdiction	2 - no defined term if not frequently
				used
8	243	Section 761A	Cash Settlement Fact Sheet	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	certificate cancellation	2 - no defined term if not frequently
			provisions	used
8	243	Section 761A	CGS depository interest	3.1 - term given Act-wide meaning
8	243	Section 761A	claimant intermediary	3.1 - term given Act-wide meaning
		1555.5 75271	15.2are interinedially	Join Breat Act Wide medining

8	243	Section 761A	claims handling and settling	3.3 - term used with same meaning
	273	30000111011	service	throughout Act
8	243	Section 761A	class	3.3 - term used with same meaning
	213	Section 7017	Class	throughout Act
8	243	Section 761A	clearing and settlement facility	3.3 - term used with same meaning
			,	throughout Act
8	243	Section 761A	clearing requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	client money reporting rules	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	crowd-funding service	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	CS facility licensee	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	current LVR	2 - no defined term if not frequently
				used
8	243	Section 761A	dealing	3.2 - term with different meanings in
				different areas of the Act
8	243	Section 761A	death benefit decision-maker	3.1 - term given Act-wide meaning
8	243	Section 761A	deposit product	3.1 - term given Act-wide meaning
8	243	Section 761A	derivative	3.3 - term used with same meaning
_				throughout Act
8	243	Section 761A	derivative retail client money	2 - no defined term if not frequently
0	242	Castian 701 A	desirentia este il alient propositi	used
8	243	Section 761A	derivative retail client property	2 - no defined term if not frequently
8	243	Section 761A	derivative trade data	used 3.1 - term given Act-wide meaning
8	243	Section 761A	derivative trade data	3.3 - term used with same meaning
0	243	Section 701A	derivative trade repository	throughout Act
8	243	Section 761A	derivative trade repository	3.3 - term used with same meaning
	2.0	300000000000000000000000000000000000000	licensee	throughout Act
8	243	Section 761A	derivative trade repository	3.3 - term used with same meaning
			rules	throughout Act
8	243	Section 761A	derivative transaction	3.1 - term given Act-wide meaning
8	243	Section 761A	derivative transaction rules	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	dispose	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	disqualified individual	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	employer-sponsor	3.1 - term given Act-wide meaning
8	243	Section 761A	execution requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	exempt public sector	3.1 - term given Act-wide meaning
			superannuation scheme	
8	243	Section 761A	financial market	3.3 - term used with same meaning
_				throughout Act
8	243	Section 761A	financial product	3.2 - term with different meanings in
			6	different areas of the Act
8	243	Section 761A	financial product advice	3.1 - term given Act-wide meaning
8	243	Section 761A	financial product advice law	2 - no defined term if not frequently
				used

8	243	Section 761A	financial service	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	financial services business	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	243	Section 761A	Financial Services Guide	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	financial services law	3.1 - term given Act-wide meaning
8	243	Section 761A	foreign exchange contract	3.1 - term given Act-wide meaning
8	243	Section 761A	foreign passport fund product	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	funeral benefit	3.1 - term given Act-wide meaning
8	243	Section 761A	funeral expenses facility	3.1 - term given Act-wide meaning
8	243	Section 761A	further market-related advice	3.1 - term given Act-wide meaning
8	243	Section 761A	general advice	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	general insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	holder	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	holder	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	insurance claims manager	3.1 - term given Act-wide meaning
8	243	Section 761A	insurance fulfilment provider	2 - no defined term if not frequently
			·	used
8	243	Section 761A	insurance product	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	insurer	3.2 - term with different meanings in
				different areas of the Act
8	243	Section 761A	investigating authority	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	243	Section 761A	investment life insurance	3.1 - term given Act-wide meaning
			product	
8	243	Section 761A	involved in	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	issue	3.2 - term with different meanings in
	-			different areas of the Act
8	243	Section 761A	issuer	3.2 - term with different meanings in
				different areas of the Act
8	243	Section 761A	kind	3.3 - term used with same meaning
	5			throughout Act
8	243	Section 761A	licensed CS facility	3.3 - term used with same meaning
	5		nochised es radincy	throughout Act
8	243	Section 761A	licensed derivative trade	3.1 - term given Act-wide meaning
	5		repository	S. S. S. S. S. S. Wide Medining
8	243	Section 761A	licensed market	3.3 - term used with same meaning
	273	300001110117	neerised market	throughout Act
8	243	Section 761A	life policy	3.1 - term given Act-wide meaning
8	243	Section 761A	life policy fund	3.1 - term given Act-wide meaning
8	243	Section 761A	life risk insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	limit	3.3 - term used with same meaning
	243	Section 701A	"""	throughout Act
		1		till oughout Act

8	243	Section 761A	listing rules	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	makes a market	3.1 - term given Act-wide meaning
8	243	Section 761A	managed investment product	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	margin call	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	margin lending facility	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	market integrity rules	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	market licensee	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	non-standard margin lending	3.1 - term given Act-wide meaning
			facility	
8	243	Section 761A	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	243	Section 761A	operating rules	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	person	3.2 - term with different meanings in
			ľ	different areas of the Act
8	243	Section 761A	personal advice	3.3 - term used with same meaning
			por community and the	throughout Act
8	243	Section 761A	prescribed CS facility	3.1 - term given Act-wide meaning
8	243	Section 761A	prescribed derivative trade	3.1 - term given Act-wide meaning
· ·			repository	l service mas meaning
8	243	Section 761A	Product Disclosure Statement	3.3 - term used with same meaning
· ·				throughout Act
8	243	Section 761A	provide	3.3 - term used with same meaning
			la company of the com	throughout Act
8	243	Section 761A	recognised affiliate	1 - not defined if ordinary meaning
			l coopco	sufficient or term is redundant
8	243	Section 761A	regulated superannuation fund	3.3 - term used with same meaning
	2 13	3661101170171	regulated superanniadion fama	throughout Act
8	243	Section 761A	relevant personal	3.1 - term given Act-wide meaning
Ü			circumstances	land term given / ter mac meaning
8	243	Section 761A	Replacement Product	3.3 - term used with same meaning
	243	Section 701A	Disclosure Statement	throughout Act
8	243	Section 761A	reporting requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	retail client	3.1 - term given Act-wide meaning
0	243	Section /OTA	lietan chent	3.1 - term given Act-wide meaning
8	243	Section 761A	risk insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	RSA	3.1 - term given Act-wide meaning
8	243	Section 761A	RSA product	1 - not defined if ordinary meaning
°	243	Section / DIA	INSA product	sufficient or term is redundant
8	243	Section 761A	RSA provider	3.1 - term given Act-wide meaning
8	243	Section 761A	RSE licence	
		_		3.1 - term given Act-wide meaning
8	243	Section 761A	sale	3.2 - term with different meanings in
	2.12	6		different areas of the Act
8	243	Section 761A	security	3.2 - term with different meanings in
_				different areas of the Act
8	243	Section 761A	self managed superannuation	3.1 - term given Act-wide meaning
			fund	

8	243	Section 761A	standard margin lending facility	3.1 - term given Act-wide meaning
8	243	Section 761A	Statement of Advice	3.3 - term used with same meaning throughout Act
8	243	Section 761A	superannuation complaint	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation entity	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation product	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation provider	3.1 - term given Act-wide meaning
8	243	Section 761A	<u>'</u>	3.1 - term given Act-wide meaning
8	243	Section 701A	superannuation trustee service	3.1 - term given Act-wide meaning
8	243	Section 761A	Supplementary Financial	3.1 - term given Act-wide meaning
			Services Guide	
8	243	Section 761A	Supplementary Product	3.3 - term used with same meaning
			Disclosure Statement	throughout Act
8	243	Section 761A	title document	3.1 - term given Act-wide meaning
8	243	Section 761A	traditional trustee company	3.3 - term used with same meaning
			services	throughout Act
8	243	Section 761A	trustee	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	trustee company	3.3 - term used with same meaning
				throughout Act
8	243	Section 761A	wholesale client	3.3 - term used with same meaning
				throughout Act
8	244	Section 761C	carry on a financial services	1 - not defined if ordinary meaning
			business	sufficient or term is redundant
8	245	Section 761CA	class	3.3 - term used with same meaning
				throughout Act
8	245	Section 761CA	kind	3.3 - term used with same meaning
				throughout Act
8	246	Subsection 761D(1)	derivative	3.3 - term used with same meaning
		(-,		throughout Act
8	247	Subsection 761D(2)	derivative	3.3 - term used with same meaning
		(-,		throughout Act
8	248	Subsection 761D(3)	derivative	3.3 - term used with same meaning
	2.0	040000101117012(0)	dentative	throughout Act
8	249	Paragraph 761D(3)(d)	derivative	3.3 - term used with same meaning
	5			throughout Act
8	250	Subsection 761D(4)	derivative	3.3 - term used with same meaning
	_55			throughout Act
8	251	Subsection 761E(3) (table	RSA product	1 - not defined if ordinary meaning
		item 2)		sufficient or term is redundant
8	251	Subsection 761E(3) (table	RSA	1 - not defined if ordinary meaning
		item 2)		sufficient or term is redundant
8	252	Subsection 761E(7)	acquire	3.3 - term used with same meaning
				throughout Act
8	252	Subsection 761E(7)	issue	3.2 - term with different meanings in
				different areas of the Act
8	252	Subsection 761E(7)	provide	3.3 - term used with same meaning
				throughout Act
8	253	Subsections 761G(1) and	retail client	3.3 - term used with same meaning
	_55	(2)		throughout Act
		11-1	<u>l</u>	an augmout rict

8	254	Subsection 761G(3)	retail client	3.3 - term used with same meaning
				throughout Act
8	255	Subsection 761G(4)	wholesale client	3.3 - term used with same meaning
				throughout Act
8	256	Subsection 761G(5)	retail client	3.3 - term used with same meaning
				throughout Act
8	257	Subsection 761G(6)	retail client	3.3 - term used with same meaning
				throughout Act
8	258	Paragraph 761G(6)(a)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	258	Paragraph 761G(6)(a)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	259	Paragraph 761G(6)(aa)	pooled superannuation trust	3.3 - term used with same meaning
				throughout Act
8	260	Paragraph 761G(6)(b)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	260	Paragraph 761G(6)(b)	RSA	1 - not defined if ordinary meaning
	<u>L</u>			sufficient or term is redundant
8	262	Subparagraph	RSA provider	3.1 - term given Act-wide meaning
		761G(6)(c)(ii)		
8	263	Paragraph 761G(6)(c)	RSA product	1 - not defined if ordinary meaning
	<u>L</u>	<u> </u>		sufficient or term is redundant
8	263	Paragraph 761G(6)(c)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	264	Subsections 761G(6A)	retail client	3.3 - term used with same meaning
		and (7)		throughout Act
8	265	Subsection 761G(7)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	265	Subsection 761G(7)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	266	Subsection 761G(9) (note	RSA product	1 - not defined if ordinary meaning
		1)		sufficient or term is redundant
8	266	Subsection 761G(9) (note	RSA	1 - not defined if ordinary meaning
		1)		sufficient or term is redundant
8	267	Paragraph 761G(7)(c)	retail client	3.3 - term used with same meaning
				throughout Act
8	268	Section 761GA	retail client	3.3 - term used with same meaning
				throughout Act
8	269	Paragraph 761GA(b)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	269	Paragraph 761GA(b)	RSA	1 - not defined if ordinary meaning
	<u> </u>			sufficient or term is redundant
8	270	Section 762B	financial product	3.2 - term with different meanings in
				different areas of the Act
8	271	Subsections 763A(1) and	financial product	3.2 - term with different meanings in
		(2)		different areas of the Act
8	272	Section 763B	makes a financial investment	3.1 - term given Act-wide meaning
8	273	Section 763C	manages financial risk	3.1 - term given Act-wide meaning
8	274	Subsection 763D(1)	makes non-cash payments	3.1 - term given Act-wide meaning
8	275	Subsection 763D(2)	makes non-cash payments	3.1 - term given Act-wide meaning
		. ,		<u>. </u>

8	276	Subsection 764A(1)	financial product	3.2 - term with different meanings in
				different areas of the Act
8	277	Paragraphs 764A(1)(d), (e) and (f)	life policy	3.1 - term given Act-wide meaning
8	277	Paragraphs 764A(1)(d), (e) and (f)	sinking fund policy	3 - all terms in s 9
8	278	Paragraph 764A(1)(g)	superannuation product	3.1 - term given Act-wide meaning
8	279	Paragraph 764A(1)(h)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	280	Paragraph 764A(1)(m)	financial product	3.2 - term with different meanings in
				different areas of the Act
8	281	Subsection 764A(3)	financial product	3.2 - term with different meanings in
J	201		Timanelar product	different areas of the Act
8	282	Subsection 765A(1)	financial product	3.2 - term with different meanings in
8	202	Subsection 705A(1)		different areas of the Act
8	283	Cubnaragraph	life policy	
°	203	Subparagraph	line policy	3.1 - term given Act-wide meaning
8	283	765A(1)(v)(ii) Subparagraph	sinking fund policy	3 - all terms in s 9
0	203		Sinking rund policy	3 - all telliis iii s 3
8	284	765A(1)(v)(ii) Subsection 765A(2)	financial product	3.2 - term with different meanings in
°	204	Subsection 765A(2)		different areas of the Act
8	285	Subsection 766 A/1)	financial service	
8	285	Subsection 766A(1)	manciai service	3.3 - term used with same meaning
	200	Cubaatian 7000(1)	fine point was direct a dirica	throughout Act
8 8	286 287	Subsection 766B(1) Subsection 766B(3)	financial product advice personal advice	3.1 - term given Act-wide meaning
٥	207	Subsection 700b(s)	personal advice	3.3 - term used with same meaning
8	288	Subsection 766B(3A)	personal advice	throughout Act 3.3 - term used with same meaning
8	200	Subsection 700b(SA)	personal advice	throughout Act
8	289	Subsection 766B(4)	general advice	3.3 - term used with same meaning
	203	3003CCCION 700D(4)	general advice	throughout Act
8	290	Subsection 766C(1)	dealing	3.2 - term with different meanings in
		3435561.511 7 5 5 5 (1)	acam,8	different areas of the Act
8	291	Subsection 766D(1)	makes a market	3.1 - term given Act-wide meaning
8	292	Paragraph 766E(3)(c)	AFCA superannuation scheme	3.2 - term with different meanings in
	232	1 414614411 7 6 6 2 (3)(6)	, a cresuperannation seneme	different areas of the Act
8	292	Paragraph 766E(3)(c)	approved deposit fund	3.3 - term used with same meaning
				throughout Act
8	292	Paragraph 766E(3)(c)	pooled superannuation trust	3.3 - term used with same meaning
			, compared to the state of the	throughout Act
8	292	Paragraph 766E(3)(c)	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	293	Subsection 767A(1)	financial market	3.3 - term used with same meaning
				throughout Act
8	294	Subsection 767A(2)	financial market	3.3 - term used with same meaning
				throughout Act
8	295	Subsection 768A(1)	clearing and settlement facility	3.3 - term used with same meaning
			,	throughout Act
8	296	Subsection 768A(2)	clearing and settlement facility	3.3 - term used with same meaning
			,	throughout Act
8	297	Section 790A	clearing and settlement	4 - consistent and intuitive headings
			arrangements	for definition sections
8	298	Paragraph 792A(1)(h)	widely held market body	3 - all terms in s 9
I		1 0 1 (7)	,	1

8	301	Subsection 795E(2)	Australian market licence	3.3 - term used with same meaning throughout Act
0	204	Costian 220D (hooding)	anaratad in this jurisdiction	
8	304	Section 820D (heading)	operated in this jurisdiction	4 - consistent and intuitive headings for definition sections
8	305	Subsection 820D(1)	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	306	Subsection 820D(1) and	operated in this jurisdiction	6 - bold italics only used when defining
		(2)		
8	307	Paragraph 821A(1)(g)	widely held market body	3 - all terms in s 9
8	310	Section 824E	clearing and settlement facility	3.3 - term used with same meaning
				throughout Act
8	313	Section 850A	widely held market body	4 - consistent and intuitive headings
				for definition sections
8	314	Subsection 850B(1)	unacceptable control situation	3.1 - term given Act-wide meaning
8	315	Section 850D	unacceptable control situation	4 - consistent and intuitive headings
				for definition sections
8	317	Section 853A (heading)	disqualified individual	4 - consistent and intuitive headings
				for definition sections
8	318	Section 853A	disqualified individual	3.3 - term used with same meaning
				throughout Act
8	319	Section 853B (heading)	involved in	4 - consistent and intuitive headings
				for definition sections
8	320	Section 853B	involved in	3.3 - term used with same meaning
				throughout Act
8	321	Subsection 853C(1)	disqualified	6 - bold italics only used when defining
8	322	Section 880B	adequate	3.1 - term given Act-wide meaning
8	322	Section 880B	borrowing	3.2 - term with different meanings in
				different areas of the Act
8	322	Section 880B	compensation arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	compensation rules	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 3 arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 3 loss	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 4 arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	fidelity fund	3.1 - term given Act-wide meaning
8	322	Section 880B	NGF	3.1 - term given Act-wide meaning
8	322	Section 880B	operating rules	3.3 - term used with same meaning
				throughout Act
8	322	Section 880B	Part 7.5 arrangements	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	322	Section 880B	pay	2 - no defined term if not frequently
				used
8	322	Section 880B	SEGC	3.1 - term given Act-wide meaning
8	323	Section880BA	compensation arrangements	3.1 - term given Act-wide meaning
8	325	Subparagraph	clearing and settlement	3.3 - term used with same meaning
		883B(1)(c)(i)	arrangements	throughout Act
8	326	Section 883C	pay	2 - no defined term if not frequently
				used
8	329	Subparagraph 885A(2)	arrangements	6 - bold italics only used when defining
	<u> </u>	1	I	

330 331 332 333 334	Subsection 885A(3) Subsection 885B(1) Paragraph 885B(1)(e) Subsection885E(1)	compensation rules adequate pay	6 - bold italics only used when defining6 - bold italics only used when defining2 - no defined term if not frequently
332	Paragraph 885B(1)(e)	·	2 - no defined term if not frequently
333		pay	' '
	Subsection885E(1)		lused
334		pay	2 - no defined term if not frequently used
	Subsection 885E(7)	compensation in respect of a Division 3 loss	2 - no defined term if not frequently used
335	Subsection 885F(1)	pay	1 - not defined if ordinary meaning sufficient or term is redundant
336	Paragraph 886A(a)	compensation in respect of a Division 3 loss	2 - no defined term if not frequently used
337	Subsection 890A(1)	Securities and Exchanges Guarantee Corporation	6 - bold italics only used when defining
339	Section 892A	Meaning of Part 7.5 authority	4 - consistent and intuitive headings for definition sections
339	Section 892A	Meaning of Part 7.5 regulated fund	4 - consistent and intuitive headings for definition sections
340	Section 892B (heading)	Part 7.5 regulated fund	5 - intuitive labelling
341	Subsection 892B(1)	Part 7.5 authority	5 - intuitive labelling
342	Subsection 892B(2)	Part 7.5 regulated fund	5 - intuitive labelling
343	Subsection 892B(3)	Part 7.5 authority	5 - intuitive labelling
344	Section 892C	Part 7.5 authority	5 - intuitive labelling
344	Section 892C	Part 7.5 regulated fund	5 - intuitive labelling
345	Section 892D (heading)	Part 7.5 authority	5 - intuitive labelling
346	Subsection 892D(1)	Division 4 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
346	Subsection 892D(1)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
347	Subsection 892D(1)	Part 7.5 authority	5 - intuitive labelling
348	Subsections 892D(4), (5) and (6)	Part 7.5 authority	5 - intuitive labelling
349	Subsection 892D(6)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
350	Subsections 892D(7) and (8)	Part 7.5 authority	5 - intuitive labelling
351	Section 892F (heading)	Part 7.5 authority	5 - intuitive labelling
352	Subsection 892F(1)	Division 3 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
352	Subsection 892F(1)	Division 4 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
352	Subsection 892F(1)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
353	Subsection 892F(2)	Part 7.5 authority	5 - intuitive labelling
354	Subsections 892G(1) and (2)	Part 7.5 regulated fund	5 - intuitive labelling
355	Section 892H	Division 3 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
		1	particient of term is redulidable
	339 340 341 342 343 344 345 346 346 347 348 349 350 351 352 352 352 353 354	339 Section 892A 340 Section 892B (heading) 341 Subsection 892B(1) 342 Subsection 892B(2) 343 Subsection 892B(3) 344 Section 892C 345 Section 892D (heading) 346 Subsection 892D(1) 347 Subsection 892D(1) 348 Subsection 892D(1) 349 Subsection 892D(4), (5) and (6) 349 Subsection 892D(6) 350 Subsection 892D(7) and (8) 351 Section 892F (heading) 352 Subsection 892F(1) 353 Subsection 892F(1) 354 Subsection 892F(2) 354 Subsections 892G(1) and (2)	Subsection 890A(1) Securities and Exchanges Guarantee Corporation 339 Section 892A Meaning of Part 7.5 authority 339 Section 892A Meaning of Part 7.5 regulated fund 340 Section 892B (heading) Part 7.5 regulated fund 341 Subsection 892B(1) Part 7.5 authority 342 Subsection 892B(2) Part 7.5 regulated fund 343 Subsection 892B(3) Part 7.5 authority 344 Section 892C Part 7.5 authority 345 Section 892C Part 7.5 regulated fund 346 Subsection 892D (heading) Part 7.5 authority 347 Subsection 892D(1) Division 4 arrangements 348 Subsection 892D(1) Part 7.5 authority 349 Subsection 892D(4), (5) Part 7.5 authority 340 Subsection 892D(6) Part 7.5 authority 341 Subsection 892D(7) Part 7.5 authority 342 Subsection 892D(8) Part 7.5 authority 343 Subsection 892D(9) Part 7.5 authority 344 Section 892D(1) Part 7.5 authority 345 Subsection 892D(1) Part 7.5 authority 346 Subsection 892D(1) Part 7.5 authority 347 Subsection 892D(1) Part 7.5 authority 348 Subsection 892D(1) Part 7.5 authority 350 Subsection 892F(1) Division 3 arrangements 351 Section 892F(1) Division 4 arrangements 352 Subsection 892F(1) Part 7.5 arrangements 353 Subsection 892F(1) Part 7.5 authority 354 Subsections 892G(1) and (2) Part 7.5 regulated fund

8	355	Section 892H	Part 7.5 arrangements	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	356	Section 892I	Division 3 arrangements	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	356	Section 892I	Part 7.5 arrangements	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	357	Subsection 901A(5)	execution requirements	3.1 - term given Act-wide meaning
8	358	Subsection 901A(6)	reporting requirements	3.1 - term given Act-wide meaning
8	359	Subsection 901A(7)	clearing requirements	3.1 - term given Act-wide meaning
8	360	Subsection 905E(2)	derivative trade repository	3.3 - term used with same meaning
	252	0.1 00005(0)		throughout Act
8	363	Subsection 908BE(2)	financial benchmark	3.3 - term used with same meaning
	264	D	A starting and	throughout Act
8	364	Paragraph 908DA(2)(b)	Australian entity	2 - no defined term if not frequently
	265	Dava ave ab 000DD/2\/b\	Avetaglian autitu	used
8	365	Paragraph 908DB(2)(b)	Australian entity	2 - no defined term if not frequently
8	266	Daragraph 000DD/2\/c\	Australian entity	used
Ŏ	366	Paragraph 908DD(2)(c)	Australian entity	2 - no defined term if not frequently
8	367	Section 910A	basic banking product	used 3.1 - term given Act-wide meaning
8	367	Section 910A Section 910A	body corporate licensee	3.1 - term given Act-wide meaning 3.1 - term given Act-wide meaning
8	367	Section 910A	cancellation time	3.1 - term given Act-wide meaning
8	367	Section 910A	class of product advice	3.1 - term given Act-wide meaning
8	367	Section 910A	Code of Ethics	3.1 - term given Act-wide meaning
8	367	Section 910A	consumer credit insurance	3.1 - term given Act-wide meaning
8	367	Section 910A	core obligation	3.1 - term given Act-wide meaning
8	367	Section 910A	CPD provision	3.1 - term given Act-wide meaning
8	367	Section 910A	CPD year	3.1 - term given Act-wide meaning
8	367	Section 910A	education and training	3.3 - term used with same meaning
			standards	throughout Act
8	367	Section 910A	Financial Services and Credit	3.3 - term used with same meaning
			Panel	throughout Act
8	367	Section 910A	foreign qualification	3.1 - term given Act-wide meaning
8	367	Section 910A	limited-service time-sharing	3.1 - term given Act-wide meaning
			adviser	<u> </u>
8	367	Section 910A	notice provision	2 - no defined term if not frequently
				used
8	367	Section 910A	prohibition end day	3.1 - term given Act-wide meaning
8	367	Section 910A	proposed action notice	3.3 - term used with same meaning
				throughout Act
8	367	Section 910A	provisional relevant provider	3.1 - term given Act-wide meaning
8	367	Section 910A	qualified tax relevant provider	3.1 - term given Act-wide meaning
0	267	Section 910A	recent advising history	2.1 torm given Act wide massing
8	367	Section 910A Section 910A	recent advising history	3.1 - term given Act-wide meaning
Ŏ	367	Section 310A	Register of Relevant Providers	3.3 - term used with same meaning throughout Act
8	367	Section 910A	registration prohibition order	3.1 - term given Act-wide meaning
0	307	SCUIDII SIUA	registration prombition order	3.1 - term given Act-wide meaning
8	367	Section 910A	registration suspension order	3.1 - term given Act-wide meaning
] 30,	Jection Jion	Tegistration suspension order	Com given Act wide meaning
	<u> </u>	1	1	!

8				
1	367	Section 910A	relevant financial products	3.2 - term with different meanings in different areas of the Act
8	367	Section 910A	relevant provider	3.3 - term used with same meaning
	307	36611011 31071	relevant provider	throughout Act
8	367	Section 910A	reportable situation	3.3 - term used with same meaning
				throughout Act
8	367	Section 910A	representative	3.3 - term used with same meaning
				throughout Act
8	367	Section 910A	response period	3.2 - term with different meanings in
				different areas of the Act
8	367	Section 910A	restricted civil penalty	3.3 - term used with same meaning
			provision	throughout Act
8	367	Section 910A	supervisor	3.1 - term given Act-wide meaning
8	367	Section 910A	suspension period	3.1 - term given Act-wide meaning
8	367	Section 910A	tax (financial) advice service	3.1 - term given Act-wide meaning
8	367	Section 910A	Tax Practitioners Board	3.1 - term given Act-wide meaning
8	368	Section 910D	Insurance fulfilment provider	2 - no defined term if not frequently
				used
8	369	Paragraph 910D(a)	Insurance fulfilment provider	2 - no defined term if not frequently
_			 	used
8	370	Subsection 911D(1)	in this jurisdiction	6 - bold italics only used when defining
8	371	Subsection 911D(2)	in this jurisdiction	6 - bold italics only used when defining
_				
8	372	Paragraph 912A(1)(cb)	person with responsibilities in	2 - no defined term if not frequently
			relation to an Australian	used
	272	C h	passport fund	
8	373	Subsection 912A(6)	person with responsibilities in relation to an Australian	2 - no defined term if not frequently
			passport fund	used
8	373	Subsection 912A(6)		3.3 - term used with same meaning
	0,0		regulated superalimation rand	throughout Act
8	373	Subsection 912A(6)	RSE licensee	3.1 - term given Act-wide meaning
8	375	Subsection 912DAA(8)	knowledge	3 - all terms in s 9
8	375	Subsection 912DAA(8)	recklessness	3 - all terms in s 9
8	376	Subsection 912DAB(9)	knowledge	3 - all terms in s 9
8	376	Subsection 912DAB(9)	recklessness	3 - all terms in s 9
8	378	Subsection 912EA(6)	knowledge	3 - all terms in s 9
8	378	Subsection 912EA(6)	recklessness	3 - all terms in s 9
8	379	Subsection 912EB(12)	knowledge	3 - all terms in s 9
8	379	Subsection 912EB(12)	recklessness	3 - all terms in s 9
8	387	Paragraph 915C(1)(e)	person with responsibilities in	2 - no defined term if not frequently
			relation to an Australian	used
			passport fund	
8	388	Subsection 915C(5)	person with responsibilities in	2 - no defined term if not frequently
, '			relation to an Australian	used
		1	passport fund	
				
8	390	Subsection 917A(2)	within authority	6 - bold italics only used when defining
8	390 391	Subsection 917A(2) paragraphs 917A(3)(d)	within authority within authority	6 - bold italics only used when defining6 - bold italics only used when defining

8	393	Subsection 921B(4) (note)	provisional relevant provider	3.1 - term given Act-wide meaning
8	394	Subsection 922L(1) (not including the notes)	notice provision	2 - no defined term if not frequently used
8	394	Subsection 922L(1) (not including the notes)	notice provision	6 - bold italics only used when defining
8	395	Paragraph 922M(1)(a)	notice provision	2 - no defined term if not frequently used
8	396	Subsection 922M(1) (note)	notice provision	2 - no defined term if not frequently used
8	399	Paragraph 946AA(1)(c)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	399	Paragraph 946AA(1)(c)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	400	Paragraph 947D(1)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	401	Subsection 947D(5)	MySuper product	3.3 - term used with same meaning throughout Act
8	402	Section 952B (heading)	defective	4 - consistent and intuitive headings for definition sections
8	402	Section 952B (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	403	Section 953A (heading)	defective	4 - consistent and intuitive headings for definition sections
8	403	Section 953A (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	404	Section 960	anniversary day	3.3 - term used with same meaning throughout Act
8	404	Section 960	asset-based fee	3.3 - term used with same meaning throughout Act
8	404	Section 960	basic banking product	3.1 - term given Act-wide meaning
8	404	Section 960	benefit ratio	3.1 - term given Act-wide meaning
8	404	Section 960	conflicted remuneration	3.1 - term given Act-wide meaning
8	404	Section 960	consumer credit insurance	3.1 - term given Act-wide meaning
8	404	Section 960	custodian	3.2 - term with different meanings in different areas of the Act
8	404	Section 960	fee disclosure statement	3.3 - term used with same meaning throughout Act
8	404	Section 960	fee recipient	3.2 - term with different meanings in different areas of the Act
8	404	Section 960	group life policy for members of a superannuation entity	2 - no defined term if not frequently used
8	404	Section 960	life policy for a member of a default superannuation entity	2 - no defined term if not frequently used
8	404	Section 960	ongoing fee	3.3 - term used with same meaning throughout Act
8	404	Section 960	ongoing fee arrangement	3.3 - term used with same meaning throughout Act

8	404	Section 960	policy cost	3.1 - term given Act-wide meaning
8	404	Section 960	reasonable investigation	3.1 - term given Act-wide meaning
8	404	Section 960	reasonably apparent	3.1 - term given Act-wide meaning
8	404	Section 960	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	404	Section 960	renewal period	3.1 - term given Act-wide meaning
8	404	Section 960	representative	3.3 - term used with same meaning
				throughout Act
8	404	Section 960	responsible licensee	3.1 - term given Act-wide meaning
8	404	Section 960	volume-based shelf-space fee	3.3 - term used with same meaning
				throughout Act
8	405	Section 961C	reasonably apparent	3.2 - term with different meanings in
				different areas of the Act
8	406	Section 961P	responsible licensee	3.2 - term with different meanings in
			·	different areas of the Act
8	407	Subparagraph	life policy for a member of a	2 - no defined term if not frequently
		963B(1)(b)(ii)	default superannuation entity	used
8	408	Sub-subparagraph	clawback requirements	3 - all terms in s 9
		963B(1)(b)(iii)(B)	·	
8	409	Subsections 963B(2) and	life policy for a member of a	2 - no defined term if not frequently
		(3)	default superannuation entity	used
			,	
8	410	Section 963BA (heading)	benefit ratio requirements and	4 - consistent and intuitive headings
			clawback requirements	for definition sections
8	411	Section 964H	reasonably apparent	3.2 - term with different meanings in
			, , , ,	different areas of the Act
8	413	Subsection 981D(2)	derivative client retail money	2 - no defined term if not frequently
			,	used
8	414	Subsection 981J(1)	derivative client retail money	2 - no defined term if not frequently
				used
8	415	Subsection 984B(3)	derivative client retail money	2 - no defined term if not frequently
				used
8	416	Section 985A (heading)	contract of insurance and	4 - consistent and intuitive headings
			insured	for definition sections
8	417	Paragraph 985A(2)(a)	life policy	3.1 - term given Act-wide meaning
8	418	Section 989A	financial year	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	appropriate	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	complaint	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	dealing	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	distribution condition	2 - no defined term if not frequently
				used
8	421	Section 994A	distribution information	3.1 - term given Act-wide meaning
8	421	Section 994A	excluded conduct	3.1 - term given Act-wide meaning
8	421	Section 994A	excluded dealing	2 - no defined term if not frequently
				used
8	421	Section 994A	financial product	3.2 - term with different meanings in
				different areas of the Act
		•		

8	421	Section 994A	MySuper product	3.3 - term used with same meaning
				throughout Act
8	421	Section 994A	regulated person	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	regulated sale	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	reporting period	3.2 - term with different meanings in
				different areas of the Act
8	421	Section 994A	retail product distribution	3.1 - term given Act-wide meaning
			conduct	
8	421	Section 994A	review period	3.1 - term given Act-wide meaning
8	421	Section 994A	review triggers	3.1 - term given Act-wide meaning
8	421	Section 994A	target market	3.1 - term given Act-wide meaning
8	421	Section 994A	target market determination	3.3 - term used with same meaning
				throughout Act
8	422	Subsection 994AA(1)	financial product	3.2 - term with different meanings in
				different areas of the Act
8	423	Paragraph 994B(1)(ba)	target market determination	3.3 - term used with same meaning
			for financial products	throughout Act
8	424	Subsection 994B(8A)	appropriate	3.2 - term with different meanings in
				different areas of the Act
8	425	Paragraph 994G(b)	excluded conduct	3.1 - term given Act-wide meaning
8	426	Section 994K	provisions of this Part	2 - no defined term if not frequently
				used
8	427	Section 994L(8)	provisions of this Part	2 - no defined term if not frequently
				used
8	428	Section 1010C (heading)	sale or purchase	4 - consistent and intuitive headings
				for definition sections
8	429	Subsection 1010C(1)	sale or purchase	6 - bold italics only used when defining
8	430	Section 1011B	sale	3.2 - term with different meanings in
				different areas of the Act
8	430	Section 1011B	offer	3.3 - term used with same meaning
				throughout Act
8	430	Section 1011B	regulated person	3.2 - term with different meanings in
				different areas of the Act
8	430	Section 1011B	responsible person	3.1 - term given Act-wide meaning
8	430	Section 1011B	sale statements	3.1 - term given Act-wide meaning
8	431	Subsection 1012A(2)	client	3.3 - term used with same meaning
				throughout Act
8	431	Subsection 1012A(2)	recommendation situation	3.1 - term given Act-wide meaning
8	431	Subsection 1012A(2)	relevant conduct	3.1 - term given Act-wide meaning
8	432	Subsection 1012B(2)	client	3.3 - term used with same meaning
	422	C h		throughout Act
8	432	Subsection 1012B(2)	issue situation	3.1 - term given Act-wide meaning
8	432	Subsection 1012B(2)	relevant conduct	4 - consistent and intuitive headings
	422	Coation 10131/leaselts 1	DCA manadasst	for definition sections
8	433	Section 1012I (heading)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant

8	433	Section 1012I (heading)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	434	Subsection 1012I(2)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	434	Subsection 1012I(2)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	435	Subsections 1013A(1)	issue statement	3.1 - term given Act-wide meaning
		and (2)		
8	435	Subsections 1013A(1)	sale Statement	3.1 - term given Act-wide meaning
		and (2)		
8	436	Subsection 1013A(3)	responsible person	3.1 - term given Act-wide meaning
8	438	Subsection 1016A(1)	RSA	1 - not defined if ordinary meaning
		(paragraph (d) of the		sufficient or term is redundant
		definition of relevant		
		financial product)		
8	438	Subsection 1016A(1)	RSA product	1 - not defined if ordinary meaning
		(paragraph (d) of the		sufficient or term is redundant
		definition of relevant		
	420	financial product)	DCA maraidan	2.4. ***********************************
8	439	Subsection 1016A(1)	RSA provider	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsor	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsored fund	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsored	3.1 - term given Act-wide meaning
			member	
8	440	Subparagraphs	RSA	1 - not defined if ordinary meaning
		1016A(2)(e)(i) and (iii)		sufficient or term is redundant
8	440	Subparagraphs	RSA product	1 - not defined if ordinary meaning
		1016A(2)(e)(i) and (iii)		sufficient or term is redundant
8	441	Subsection 1017B(9)	MySuper product	3.3 - term used with same meaning
		(definition of MySuper		throughout Act
		product)		
8	442	Subsection 1017BA(5)	choice product	3.3 - term used with same meaning
				throughout Act
8	442	Subsection 1017BA(5)	life policy	3.1 - term given Act-wide meaning
8	442	Subsection 1017BA(5)	MySuper product	3.3 - term used with same meaning
				throughout Act
8	442	Subsection 1017BA(5)	regulated superannuation fund	3.3 - term used with same meaning
	442	C	104 6	throughout Act
8	443	Subsection 1017BB(6)	MySuper product	3.3 - term used with same meaning
	442	Cubsortion 1017DD/C	no olod suporprovetice trust	throughout Act
8	443	Subsection 1017BB(6)	pooled superannuation trust	3.3 - term used with same meaning
8	111	Subsection 1017PP/6\	regulated cuperannuation fund	throughout Act
*	444	Subsection 1017BB(6) (definition of small APRA	regulated superannuation rund	3.3 - term used with same meaning throughout Act
		fund)		itiirougiiout Act
8	445	Section 1017C (heading)	RSA	1 - not defined if ordinary meaning
		2027 0 (110001118)		sufficient or term is redundant
8	445	Section 1017C (heading)	RSA product	1 - not defined if ordinary meaning
	1 773	Jection 1017 C (ilcduing)	, product	sufficient or term is redundant
			<u> </u>	James en certifies redundant

8	446	Section 1017C(1)(b)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	446	Section 1017C(1)(b)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	447	Subsection 1017C(2A)	RSA	1 - not defined if ordinary meaning
		(heading)		sufficient or term is redundant
8	447	Subsection 1017C(2A)	RSA product	1 - not defined if ordinary meaning
		(heading)		sufficient or term is redundant
8	448	Subsection 1017C(2A)	RSA	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	448	Subsection 1017C(2A)	RSA product	1 - not defined if ordinary meaning
				sufficient or term is redundant
8	449	Subsection 1017C(3A)	RSA	1 - not defined if ordinary meaning
		(heading)		sufficient or term is redundant
8	449	Subsection 1017C(3A)	RSA product	1 - not defined if ordinary meaning
		(heading)		sufficient or term is redundant
8	450	Subsection 1017C(3A)	RSA	1 - not defined if ordinary meaning
	430	Subsection 1017C(3A)	11.57	sufficient or term is redundant
8	450	Subsection 1017C(3A)	RSA product	1 - not defined if ordinary meaning
0	430	Subsection 1017C(SA)	NSA product	sufficient or term is redundant
8	451	Subsection 1017C(5)	RSA	1 - not defined if ordinary meaning
0	451	3003ection 1017c(3)	INSA	sufficient or term is redundant
8	451	Subsection 1017C(5)	RSA product	1 - not defined if ordinary meaning
	-51	3453661011 1017 6(3)	Nort product	sufficient or term is redundant
8	452	Subsection 1017C(9)	RSA	1 - not defined if ordinary meaning
	.5_	(paragraph (b) of the		sufficient or term is redundant
		definition of concerned		
		person)		
8	452	Subsection 1017C(9)	RSA product	1 - not defined if ordinary meaning
		(paragraph (b) of the	'	sufficient or term is redundant
		definition of concerned		
		person)		
8	453	Subparagraph	RSA	1 - not defined if ordinary meaning
		1017D(1)(b)(iii)		sufficient or term is redundant
8	453	Subparagraph	RSA product	1 - not defined if ordinary meaning
		1017D(1)(b)(iii)		sufficient or term is redundant
8	454	Subparagraphs	RSA	1 - not defined if ordinary meaning
		1017DA(1)(b)(i) and (ii)		sufficient or term is redundant
8	454	Subparagraphs	RSA product	1 - not defined if ordinary meaning
0	434	1017DA(1)(b)(i) and (ii)	INSA product	sufficient or term is redundant
				Summer of term is redundant
8	455	Subsection 1017DA(4)	RSA provider	3.1 - term given Act-wide meaning
	400	Judgeedion 1017 DA(4)	NOA PROVIDER	3.1 term given Act-wide meaning
8	456	Subparagraph	RSA	1 - not defined if ordinary meaning
	-50	1019A(1)(a)(v)		sufficient or term is redundant
8	456	Subparagraph	RSA product	1 - not defined if ordinary meaning
٥	430	1 ' ' '	INSA Product	sufficient or term is redundant
		1019A(1)(a)(v)	1	
8	457	Section 1019C	date of offer	1 - not defined if ordinary meaning
				sufficient or term is redundant

8	457	Section 1019C	offer to which this Division applies	1 - not defined if ordinary meaning sufficient or term is redundant
8	457	Section 1019C	offeree	1 - not defined if ordinary meaning sufficient or term is redundant
8	457	Section 1019C	offeror	3.2 - term with different meanings in different areas of the Act
8	458	Subsection 1019I(1)	date of offer	6 - bold italics only used when defining
8	459	Section 1020AA	crossing	2 - no defined term if not frequently used
8	459	Section 1020AA	section 1020B products	3.1 - term given Act-wide meaning
8	459	Section 1020AA	'	3.1 - term given Act-wide meaning
8	460	Section 1020AH	CGS depository interest	4 - consistent and intuitive headings for definition sections
8	460	Section 1020AH	information statement	4 - consistent and intuitive headings for definition sections
8	460	Section 1020AH	regulated person	4 - consistent and intuitive headings for definition sections
8	461	Subsection 1020B(1)	section 1020B products	3.1 - term given Act-wide meaning
8	462	Section 1021B (heading)	defective	4 - consistent and intuitive headings for definition sections
8	462	Section 1021B (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	462	Section 1021B (heading)	regulated person	4 - consistent and intuitive headings for definition sections
8	463	Subsection 1021(B)(1) (definition of regulated person)	regulated person	3.2 - term with different meanings in different areas of the Act
8	464	Section 1022A (heading)	defective	4 - consistent and intuitive headings for definition sections
8	464	Section 1022A (heading)	disclosure document or statement	3.1 - term given Act-wide meaning
8	465	Subsection 1022A(1) (definition of regulated person)	regulated person	3.2 - term with different meanings in different areas of the Act
8	466	Section 1023B	ASIC Act financial product	2 - no defined term if not frequently used
8	466	Section 1023B	financial product	4 - consistent and intuitive headings for definition sections
8	466	Section 1023B	product intervention order	3.1 - term given Act-wide meaning
8	466	Section 1023B	regulated sale	3.2 - term with different meanings in different areas of the Act
8	467	Paragraph 1040B(1)(b)	Division 3 financial product	3.1 - term given Act-wide meaning
8	468	Paragraphs 1041F(3)(a) and (b)	superannuation entity	3.3 - term used with same meaning throughout Act
8	469	Paragraph 1041F(3)(c)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	469	Paragraph 1041F(3)(c)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	470	Subparagraphs 1041H(2)(b)(iv) to (ix)	RSA provider	3.1 - term given Act-wide meaning

8	470	Subparagraphs	standard employer-sponsor	3.1 - term given Act-wide meaning
	470	1041H(2)(b)(iv) to (ix)		2.2. towns wood with some meaning
8	470	Subparagraphs	superannuation entity	3.3 - term used with same meaning
	471	1041H(2)(b)(iv) to (ix)		throughout Act
8	471	Subsection 1041L(3)	concurrent wrongdoer	3.1 - term given Act-wide meaning
8	472	Section 1042A	able to be traded	3.3 - term used with same meaning throughout Act
8	472	Section 1042A	certain terms relating to the	4 - consistent and intuitive headings
			insider trading provisions	for definition sections
8	472	Section 1042A	Division 3 financial products	3.1 - term given Act-wide meaning
8	472	Section 1042A	generally available	3.2 - term with different meanings in
				different areas of the Act
8	472	Section 1042A	information	3.2 - term with different meanings in
				different areas of the Act
8	472	Section 1042A	inside information	3.1 - term given Act-wide meaning
8	472	Section 1042A	material effect	3.2 - term with different meanings in
	.,_	00000011 10 12/1		different areas of the Act
8	472	Section 1042A	procure	3.2 - term with different meanings in
				different areas of the Act
8	472	Section 1042A	relevant Division 3 financial	3.1 - term given Act-wide meaning
			products	
8	473	Section 1042C (heading)	generally available	4 - consistent and intuitive headings
				for definition sections
8	474	Section 1042D (heading)	material effect	4 - consistent and intuitive headings
				for definition sections
8	475	Section 1042E (heading)	able to be traded	4 - consistent and intuitive headings
				for definition sections
8	476	Section 1042F (heading)	procure	4 - consistent and intuitive headings
				for definition sections
8	477	Paragraphs 1043A(1)(b)	procure	3.2 - term with different meanings in
		and (2)(b)		different areas of the Act
8	478	Section 1050 (note)	AFCA	3.1 - term given Act-wide meaning
8	478	Section 1050 (note)	AFCA scheme	3.1 - term given Act-wide meaning
8	479	Paragraph 1052E(1)(b)	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	480	Paragraph 1053(1)(a)	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	481	Subparagraph	regulated superannuation fund	3.3 - term used with same meaning
		1053(1)(a)(i)		throughout Act
8	482	Subsection 1053(1)(note	regulated superannuation fund	3.3 - term used with same meaning
		2)		throughout Act
8	483	Section 1053A (heading)	AFCA superannuation scheme	3.2 - term with different meanings in
				different areas of the Act
8	483	Section 1053A (heading)	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	484	Section 1053A	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
8	485	Paragraph 1054(1)(b)	AFCA superannuation scheme	3.2 - term with different meanings in
				different areas of the Act
8	485	Paragraph 1054(1)(b)	regulated superannuation fund	3.3 - term used with same meaning
				throughout Act
		-		

8	486	Paragraph 1055(7)(b)	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	486	Paragraph 1055(7)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	487	Subsection 1058(6)	investigating authority	2 - no defined term if not frequently used
8	488	Section 1073B	transfer	3.2 - term with different meanings in different areas of the Act
8	488	Section 1073B	transfer document	2 - no defined term if not frequently used
8	489	Section 1074B	transfer	3.2 - term with different meanings in different areas of the Act
8	489	Section 1074B	transfer document	3.2 - term with different meanings in different areas of the Act
8	490	Paragraph 1074F(a)	certificate cancellation provisions	2 - no defined term if not frequently used
8	491	Subsection 1101B(12) (definition of compensation rules)	compensation rules	3.1 - term given Act-wide meaning
8	492	Paragraph 1240C(2)(b)	issue situation	3.1 - term given Act-wide meaning
8	493	Subsection 1241B(3)	representative	3.3 - term used with same meaning throughout Act
8	494	Subsection 1241F(6)	regulated superannuation fund	3.2 - term with different meanings in different areas of the Act
8	494	Subsection 1241F(6)	RSE licensee	3.1 - term given Act-wide meaning
8	495	Subsection 1317GA(1)	fee recipient	3.2 - term with different meanings in different areas of the Act
8	496	Subsection 1317GB(1)	fee recipient	3.2 - term with different meanings in different areas of the Act
9	497	Section 9	foreign recognition scheme	3.1 - term given Act-wide meaning
9	497	Section 9	law of a recognised jurisdiction	3.1 - term given Act-wide meaning
9	497	Section 9	offeror	3.2 - term with different meanings in different areas of the Act
9	497	Section 9	offer securities	3.3 - term used with same meaning throughout Act
9	497	Section 9	recognised jurisdiction	3.1 - term given Act-wide meaning
9	498	Subsection 92(6)	securities	3.2 - term with different meanings in different areas of the Act
9	499	Section 1200A (heading)	Certain terms relating to mutual recognition of securities offers	4 - consistent and intuitive headings for definition sections
9	500	Subsection 1200A(1)	foreign recognition scheme	3.1 - term given Act-wide meaning
9	500	Subsection 1200A(1)		3.1 - term given Act-wide meaning
9	500	Subsection 1200A(1)	offeror	3.2 - term with different meanings in different areas of the Act
9	500	Subsection 1200A(1) Subsection 1200A(1)		_
			recognised jurisdiction securities	different areas of the Act

10	501	Section 9	Australian Passport Fund	3.1 - term given Act-wide meaning
10	501	Section 9	Registration Number collective investment fund	2.1 torm given Act wide meaning
10 10	501	Section 9	consideration period	3.1 - term given Act wide meaning
10	502	Section 9 (definition of	· ·	3.1 - term given Act-wide meaning 3.3 - term used with same meaning
10	302	home economy)	home economy	
10	503	Section 9 (definition of	home regulator	throughout Act 3.3 - term used with same meaning
10	303	'	Tiorne regulator	
10	504	home regulator) Section 9 (definition of	host economy	throughout Act 3.3 - term used with same meaning
10	304	host economy)	liost economy	throughout Act
10	505	Section 9 (definition of	host regulator	3.3 - term used with same meaning
10	303	host regulator)	Inost regulator	throughout Act
10	506	Section 9 (definition of	Memorandum of Cooperation	3.3 - term used with same meaning
10	300	Memorandum of	Wellioraliddill of Cooperation	throughout Act
		Cooperation)		tilloughout Act
10	507	Section 9 (definition of	operator	3.3 - term used with same meaning
10	307	operator)	Operator	throughout Act
10	508	Section 9	participating oconomy	3.1 - term given Act-wide meaning
10	509	Section 9 (definition of	participating economy passport fund	3.3 - term used with same meaning
10	309	passport fund)	passport fulld	throughout Act
10	510	Section 9	proposed operator	3.1 - term given Act-wide meaning
10	510	Section 9	regulated CIS	3.1 - term given Act-wide meaning
10	510	Section 9 (definition of	sub-fund	3.2 - term with different meanings in
10	311	sub-fund)	Sub-ruriu	different areas of the Act
10	F12	<u> </u>		
10	512	Section 1210	participating economy	3 - all terms in s 9
10	512	Section 1210	participating economy	3.1 - term given Act-wide meaning
10	512	Section 1210	participating economy	4 - consistent and intuitive headings
10	F12	Castina 1211 A /bassina)	a consent males	for definition sections
10	513	Section 1211A (heading)	passport rules	4 - consistent and intuitive headings
10	F14	Cubsostion 12125/1)	na na na na di nuna tua anti a cha na a	for definition sections
10	514	Subsection 1213E(1)	managed investment scheme	6 - bold italics only used when defining
11	515	Section 9 (paragraph (i)	property	3.2 - term with different meanings in
	525	of the definition of		different areas of the Act
		property)		
11	516	Section 9	property recovery provisions	3.1 - term given Act-wide meaning
			, , , , , , , , , , , , , , , , , , , ,	
11	516	Section 9	winding up provisions	3.1 - term given Act-wide meaning
11	517	Subsection 92(3)	securities	3.2 - term with different meanings in
				different areas of the Act
11	518	Section 1233 (heading)	property	4 - consistent and intuitive headings
			,	for definition sections
11	519	Section 1233H (heading)	sub-fund	4 - consistent and intuitive headings
				for definition sections
11	520	Section 1233L (heading)	sub-fund	4 - consistent and intuitive headings
				for definition sections
11	521	Section 1236A (heading)	property and receiver subfund	4 - consistent and intuitive headings
		(, , , , , , , , , , , , , , , , , , , ,	for definition sections
11	522	Section 1240	securities	3.2 - term with different meanings in
				different areas of the Act
11	523	Paragraph 1241J(1)(a)	non-licensee	6 - bold italics only used when defining
	<u> </u>	1	1	

11	524	Paragraph 1241J(1)(b)	client	6 - bold italics only used when defining
11	525	Subsection 1241J(1)	corporate director	6 - bold italics only used when defining
12	526	Section 9	commercial-in confidence	3 - all terms in s 9
12	526	Section 9	compensation proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	compliance period	3.1 - term given Act-wide meaning
12	526	Section 9	contravention proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	detriment	3.2 - term with different meanings in
				different areas of the Act
12	526	Section 9	enforcement proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	infringement notice	3.2 - term with different meanings in
				different areas of the Act
12	526	Section 9	pecuniary penalty applicable	3.1 - term given Act-wide meaning
12	526	Section 9	penalty applicable	3.1 - term given Act-wide meaning
12	526	Section 9	public interest proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	specified	3.3 - term used with same meaning
				throughout Act
12	527	Division 1 of Part 9.2	body corporate	3 - all terms in s 9
12	527	Division 1 of Part 9.2	decision	3 - all terms in s 9
12	527	Division 1 of Part 9.2	registered	3 - all terms in s 9
12	529	Paragraph 1317AAB(f)	trustee	2 - no defined term if not frequently
				used
12	530	Section 1317ADA	detriment	4 - consistent and intuitive headings
		(heading)		for definition sections
12	531	Section 1317A	decision	2 - no defined term if not frequently used
12	532	Subsections 1317B(1)	decision	2 - no defined term if not frequently
		and (1A)		used
12	533	Section 1317DAAA	compensation proceedings	4 - consistent and intuitive headings
				for definition sections
12	534	Section 1317DAA (heading)	disclosing entities	3 - all terms in s 9
12	535	Subsection 1317DAA(1)	compensation proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	compliance period	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	contravention proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	enforcement proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	infringement notice	3.2 - term with different meanings in
				different areas of the Act
12	535	Subsection 1317DAA(1)	penalty and disclosure	2 - no defined term if not frequently
			proceedings	used
12	535	Subsection 1317DAA(1)	public interest proceedings	3.1 - term given Act-wide meaning
12	537	Subparagraphs	penalty and disclosure	2 - no defined term if not frequently
			proceedings	used
		and 4(d)(i) and (iii)		
		•	•	

12	538	Section 1363	the regulations	1 - not defined if ordinary meaning
				sufficient or term is redundant
12	539	Section 1367	prescribed	1 - not defined if ordinary meaning
				sufficient or term is redundant
13	540	Section 9 (definition of	resolution	3 - all terms in s 9
		resolution)		